

Final Term
CS-502

BY:-

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Recite Darood
Pak!

Chapter 7

Greedy Algorithms

improvement of efficiency.

An optimization problem is one in which you want to find, not just a solution, but the best solution.

Search techniques look at many possible solutions. E.g. dynamic programming or backtrack search. A "greedy algorithm" sometimes works well for optimization problems

A greedy algorithm works in phases. At each phase:

- You take the best you can get right now, without regard for future consequences.
- You hope that by choosing a local optimum at each step, you will end up at a global optimum.

For some problems, greedy approach always gets optimum. For others, greedy finds good, but not always best. If so, it is called a greedy heuristic, or approximation. For still others, greedy approach can do very poorly.

7.1 Example: Counting Money

Suppose you want to count out a certain amount of money, using the fewest possible bills (notes) and coins. A greedy algorithm to do this would be: at each step, take the largest possible note or coin that does not overshoot.

```
while (N > 0) {
  give largest denomination coin ≤ N
  reduce N by value of that coin
}
```

N → Number of Rupees:-

Consider the currency in U.S.A. There are paper notes for one dollar, five dollars, ten dollars, twenty dollars, fifty dollars and hundred dollars. The notes are also called "bills". The coins are one cent, five cents (called a "nickle"), ten cents (called a "dime") and twenty five cents (a "quarter"). In Pakistan, the currency notes are five rupees, ten rupees, fifty rupees, hundred rupees, five hundred rupees and thousand

97
 Count small
 98
 count large then
 than optimal selection.
 then not optimal solution/just solve

rupees. The coins are one rupee and two rupees. Suppose you are asked to give change of \$6.39 (six dollars and thirty nine cents), you can choose:

- a \$5 note
- a \$1 note to make \$6
- a 25 cents coin (quarter), to make \$6.25
- a 10 cents coin (dime), to make \$6.35
- four 1 cents coins, to make \$6.39

} Greedy solution is best solution but not optimal.

Notice how we started with the highest note, \$5, before moving to the next lower denomination.

Formally, the Coin Change problem is: Given k denominations d_1, d_2, \dots, d_k and given N , find a way of writing

$$N = i_1 d_1 + i_2 d_2 + \dots + i_k d_k$$

such that

$$i_1 + i_2 + \dots + i_k \text{ is minimized.}$$

The "size" of problem is k .

The greedy strategy works for the coin change problem but not always. Here is an example where it fails. Suppose, in some (fictional) monetary system, "krons" come in 1 kron, 7 kron, and 10 kron coins Using a greedy algorithm to count out 15 krons, you would get A 10 kron piece Five 1 kron pieces, for a total of 15 krons This requires six coins. A better solution, however, would be to use two 7 kron pieces and one 1 kron piece This only requires three coins The greedy algorithm results in a solution, but not in an optimal solution

The greedy approach gives us an optimal solution when the coins are all powers of a fixed denomination.

$$N = i_0 D^0 + i_1 D^1 + i_2 D^2 + \dots + i_k D^k$$

Note that this is N represented in based D . U.S.A coins are multiples of 5: 5 cents, 10 cents and 25 cents.

7.1.1 Making Change: Dynamic Programming Solution

Not mp

The general coin change problem can be solved using Dynamic Programming. Set up a Table, $C[1..k, 0..N]$ in which the rows denote available denominations, d_i ; ($1 \leq i \leq k$) and columns denote the amount from $0 \dots N$ units, ($0 \leq j \leq N$). $C[i, j]$ denotes the minimum number of coins, required to pay an amount j using only coins of denominations 1 to i . $C[k, N]$ is the solution required.

To pay an amount j units, using coins of denominations 1 to i , we have two choices:

1. either chose NOT to use any coins of denomination i ,
2. or chose at least one coin of denomination i , and also pay the amount $(j - d_i)$.

7.2. GREEDY ALGORITHM: HUFFMAN ENCODING

To pay $(j - d_i)$ units it takes $C[i, j - d_i]$ coins. Thus,

$$C[i, j] = 1 + C[i, j - d_i]$$

Since we want to minimize the number of coins used,

$$C[i, j] = \min(C[i - 1, j], 1 + C[i, j - d_i])$$

Here is the dynamic programming based algorithm for the coin change problem.

```

COINS(N)
1  d[1..n] = {1, 4, 6} // (coinage, for example)
2  for i = 1 to k
3  do c[i, 0] ← 0
4  for i = 1 to k
5  do for j = 1 to N
6      do if (i = 1 & j < d[i])
7          then c[i, j] ← ∞
8          else if (i = 1)
9              then c[i, j] ← 1 + c[1, j - d[1]]
10         else if (j < d[i])
11             then c[i, j] ← c[i - 1, j]
12             else c[i, j] ← min(c[i - 1, j], 1 + c[i, j - d[i]])
13  return c[k, N]
  
```

PS video code

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7.1.2 Complexity of Coin Change Algorithm

Greedy algorithm (non-optimal) takes $O(k)$ time. Dynamic Programming takes $O(kN)$ time. Note that N can be as large as 2^k so the dynamic programming algorithm is really exponential in k .

Lec #24

7.2 Greedy Algorithm: Huffman Encoding

The Huffman codes provide a method of encoding data efficiently. Normally, when characters are coded using standard codes like ASCII. Each character is represented by a fixed-length codeword of bits, e.g. 8 bits per character. Fixed-length codes are popular because it is very easy to break up a string into its individual characters, and to access individual characters and substrings by direct indexing. However, fixed-length codes may not be the most efficient from the perspective of minimizing the total quantity of data.

ASCII → 8 bit code

Consider the string "abacdaaac". if the string is coded with ASCII codes, the message length would be $10 \times 8 = 80$ bits. We will see shortly that the same string encoded with a variable length Huffman encoding scheme will produce a shorter message.

*ایک Message کو دوسری شکل میں لکھنے کے لیے اس message کو compress کر دیتے ہیں۔
یعنی Number کو کم کر دیتے ہیں۔
یعنی 8 Bits*

جیسا کہ آپ کہیں جائیں، آپ کو ایک ہی چیز ملے گی اور اسے ہی وہ ہوا ہے۔
 یہ سب وہ Compress ہے۔
 CHAPTER 7. GREEDY ALGORITHMS

7.2.1 Huffman Encoding Algorithm

Here is how the Huffman encoding algorithm works. Given a message string, determine the frequency of occurrence (relative probability) of each character in the message. This can be done by parsing the message and counting how many time each character (or symbol) appears. The probability is the number of occurrence of a character divided by the total characters in the message. The frequencies and probabilities for the example string "abacdaacac" are

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character	a	b	c	d
frequency	5	1	3	1
probability	0.5	0.1	0.3	0.1

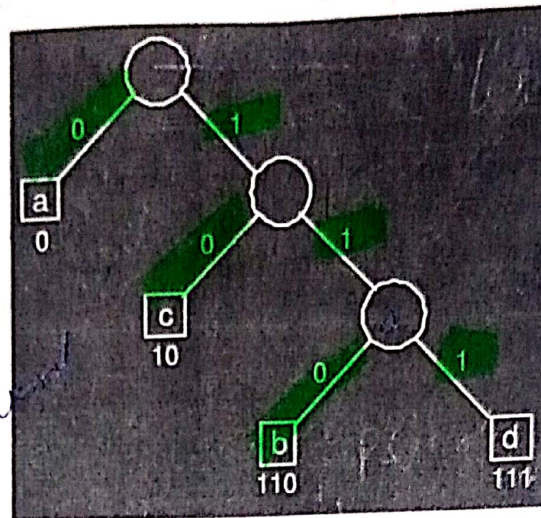
Next, create binary tree (leaf) node for each symbol (character) that occurs with nonzero frequency. Set node weight equal to the frequency of the symbol. Now comes the greedy part: Find two nodes with smallest frequency. Create a new node with these two nodes as children, and with weight equal to the sum of the weights of the two children. Continue until we have a single tree.

Finding two nodes with the smallest frequency can be done efficiently by placing the nodes in a heap-based priority queue. **The min-heap is maintained using the frequencies.** When a new node is created by combining two nodes, the new node is placed in the priority queue. Here is the Huffman tree building algorithm.

```

HUFFMAN(N, symbol[1..N], freq[1..N])
1  for i = 1 to N
2  do t ← TreeNode(symbol[i], freq[i])
3  pq.insert(t, freq[i]) // priority queue
4  for i = 1 to N - 1
5  do x = pq.remove(); y = pq.remove()
6  z ← new TreeNode
7  z.left ← x; z.right ← y
8  z.freq ← x.freq + y.freq
9  pq.insert(z, z.freq);
10 return pq.remove(); // root
    
```

Figure 7.1 shows the tree built for the example message "abacdaacac"



Left side → 0
Right side → 1

Handwritten notes in Urdu: "کد کے لئے فریکوئنسی کے ساتھ" and "کد کے لئے فریکوئنسی کے ساتھ".

Figure 7.1: Huffman binary tree for the string "abacdaac"

Prefix Property:

The codewords assigned to characters by the Huffman algorithm have the property that no codeword is a prefix of any other:

character	a	b	c	d
frequency	5	1	3	1
probability	0.5	0.1	0.3	0.1
codeword	0	110	10	111

The prefix property is evident by the fact that codewords are leaves of the binary tree. Decoding a prefix code is simple. We traverse the root to the leaf letting the input 0 or 1 tell us which branch to take

Expected encoding length:

If a string of n characters over the alphabet $C = \{a, b, c, d\}$ is encoded using 8-bit ASCII, the length of encoded string is $8n$. For example, the string "abacdaac" will require $8 \times 10 = 80$ bits. The same string encoded with Huffman codes will yield

a	b	a	c	d	a	a	c	a	c
0	110	0	10	111	0	0	10	0	10

This is just 17 bits, a significant saving!. For a string of n characters over this alphabet, the expected encoded string length is

$$n(0.5 \cdot 1 + 0.1 \cdot 3 + 0.3 \cdot 2 + 0.1 \cdot 3) = 1.7n$$

In general, let $p(x)$ be the probability of occurrence of a character, and let $d_T(x)$ denote the length of the codeword relative to some prefix tree T . The expected number of bits needed to encode a text with n characters is given by

$$B(T) = n \sum_{x \in C} p(x) d_T(x)$$

Handwritten notes: "No. of bits" above the summation, and "Bit-Tree. depth of tree." below the summation.

Handwritten notes in Urdu: "Lecture" and "Register".

Lect #25

7.2.2 Huffman Encoding: Correctness

Huffman algorithm uses a greedy approach to generate a prefix code T that minimizes the expected length B(T) of the encoded string. In other words, Huffman algorithm generates an optimum prefix code. The question that remains is that why is the algorithm correct?

Recall that the cost of any encoding tree T is

B(T) = \sum_{x \in C} p(x) d_T(x)

Our approach to prove the correctness of Huffman Encoding will be to show that any tree that differs from the one constructed by Huffman algorithm can be converted into one that is equal to Huffman's tree without increasing its costs. Note that the binary tree constructed by Huffman algorithm is a full binary tree.

Claim:

Consider two characters x and y with the smallest probabilities. Then there is optimal code tree in which these two characters are siblings at the maximum depth in the tree.

Proof:

Let T be any optimal prefix code tree with two siblings b and c at the maximum depth of the tree. Such a tree is shown in Figure 7.2 Assume without loss of generality that

p(b) <= p(c) and p(x) <= p(y)

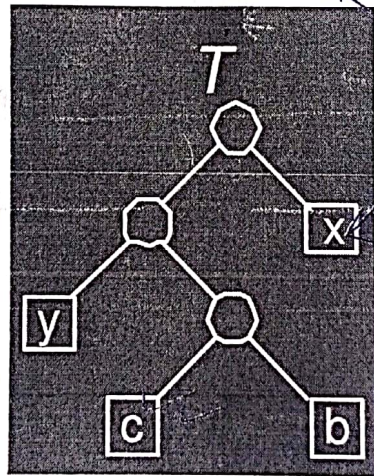


Figure 7.2: Optimal prefix code tree T

Probability less so it is written to right

Small will change to small/or left to left.

Since x and y have the two smallest probabilities (we claimed this), it follows that

p(x) <= p(b) and p(y) <= p(c)

Right to Right

Handwritten notes in Urdu: 'اس میں x اور y کے ساتھ ساتھ', 'Sibling', 'Parent', 'ظاہر ہے', 'یہ سب سے چھوٹے ہیں', 'یہ سب سے چھوٹے ہیں', 'یہ سب سے چھوٹے ہیں'.

Since b and c are at the deepest level of the tree, we know that

$$d(b) \geq d(x) \quad \text{and} \quad d(c) \geq d(y) \quad (d \text{ is the depth})$$

Thus we have

$$p(b) - p(x) \geq 0$$

and

$$d(b) - d(x) \geq 0$$

Hence their product is non-negative. That is,

$$(p(b) - p(x)) \cdot (d(b) - d(x)) \geq 0$$

Now swap the positions of x and b in the tree

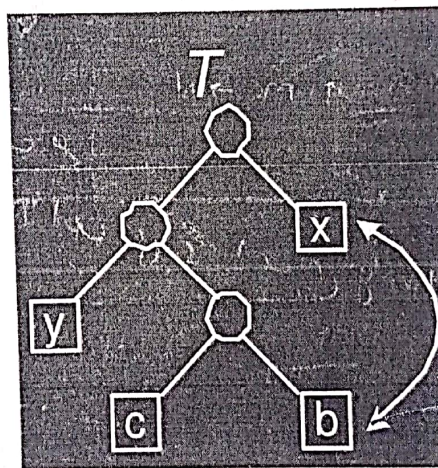


Figure 7.3: Swap x and b in tree prefix tree T

رہس میں آ کر دیکھ لیا
آئی ہے جس

Probability زیادہ ہو
رہس میں آسکے
اور برعکس

This results in a new tree T'

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جس Node پر رہنے سے اس کی Probability میں برتری

depth / 104 / Probability
 سے زیادہ Probability
 سے زیادہ Probability

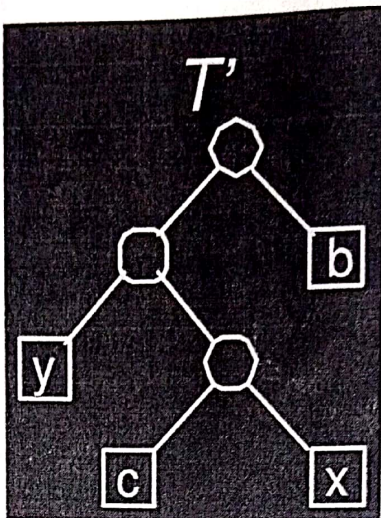


Figure 7.4: Prefix tree T' after x and b are swapped

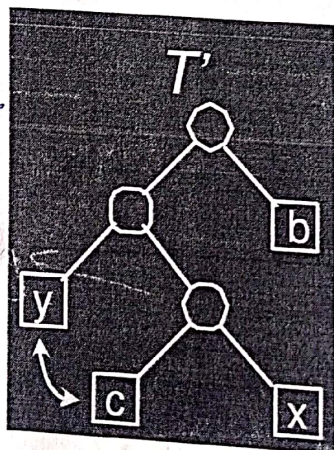
Let's see how the cost changes. The cost of T' is

$$\begin{aligned} B(T') &= B(T) - p(x)d(x) + p(x)d(b) - p(b)d(b) + p(b)d(x) \\ &= B(T) + p(x)[d(b) - d(x)] - p(b)[d(b) - d(x)] \\ &= B(T) - (p(b) - p(x))(d(b) - d(x)) \\ &\leq B(T) \text{ because } (p(b) - p(x))(d(b) - d(x)) \geq 0 \end{aligned}$$

Thus the cost does not increase, implying that T' is an optimal tree.

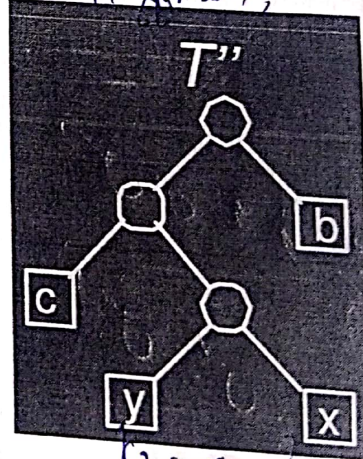
By switching y with c we get the tree T'' . Using a similar argument, we can show that T'' is also optimal.

یہ اس میں ہے کہ
 یہ اس میں ہے کہ



Handwritten note: + halkmau - 1

⇒



Handwritten note: Sibling

The final tree T'' satisfies the claim we made earlier, i.e., consider two characters x and y with the

Handwritten notes at the bottom of the page, including "Siblings" and other Urdu text.

smallest probabilities. Then there is optimal code tree in which these two characters are siblings at the maximum depth in the tree.

The claim we just proved asserts that the first step of Huffman algorithm is the proper one to perform (the greedy step). The complete proof of correctness for Huffman algorithm follows by induction on n .

Claim: Huffman algorithm produces the optimal prefix code tree. *code distinct.*

Proof: The proof is by induction on n , the number of characters. For the basis case, $n = 1$, the tree consists of a single leaf node, which is obviously optimal. We want to show it is true with exactly n characters. *induction method.*

Suppose we have exactly n characters. The previous claim states that two characters x and y with the lowest probability will be siblings at the lowest level of the tree. Remove x and y and replace them with a new character z whose probability is $p(z) = p(x) + p(y)$. Thus $n - 1$ characters remain.

Consider any prefix code tree T made with this new set of $n - 1$ characters. We can convert T into prefix code tree T' for the original set of n characters by replacing z with nodes x and y . This is essentially undoing the operation where x and y were removed and replaced by z . The cost of the new tree T' is *z-parents node.*

$$\begin{aligned} B(T') &= B(T) - p(z)d(z) + p(x)[d(z) + 1] + p(y)[d(z) + 1] \\ &= B(T) - (p(x) + p(y))d(z) + (p(x) + p(y))[d(z) + 1] \\ &= B(T) + (p(x) + p(y))[d(z) + 1 - d(z)] \\ &= B(T) + p(x) + p(y) \end{aligned}$$

Binary tree | Parents node.

The cost changes but the change depends in no way on the structure of the tree T (T is for $n - 1$ characters). Therefore, to minimize the cost of the final tree T' , we need to build the tree T on $n - 1$ characters optimally. By induction, this is exactly what Huffman algorithm does. Thus the final tree is optimal.

Lect# 26
7.3 Activity Selection

The activity scheduling is a simple scheduling problem for which the greedy algorithm approach provides an optimal solution. We are given a set $S = \{a_1, a_2, \dots, a_n\}$ of n activities that are to be scheduled to use some resource. Each activity a_i must be started at a given start time s_i and ends at a given finish time f_i .

An example is that a number of lectures are to be given in a single lecture hall. The start and end times have to be set up in advance. The lectures are to be scheduled. There is only one resource (e.g., lecture hall). Some start and finish times may overlap. Therefore, not all requests can be honored. We say that two activities a_i and a_j are non-interfering if their start-finish intervals do not overlap. I.e., $(s_i, f_i) \cap (s_j, f_j) = \emptyset$. The activity selection problem is to select a maximum-size set of mutually non-interfering activities for use of the resource.

So how do we schedule the largest number of activities on the resource? Intuitively, we do not like long

دیکھنا کہ کون سے کاموں کو سب سے پہلے کرنا ہے۔ کوئی کہتا ہے کہ میں نے 10 سے 11 بجے تقریر کرنا ہے۔ کوئی کہتا ہے میں نے 2 سے 3 بجے تقریر کرنا ہے تو میں نے 10 بجے تقریر کرنا ہے۔
Time بنا لیا جاتا ہے تو شروع سے end تک ایک سکل scheduled میں جاتا ہے۔
Initial Time T_i اور Final Time T_f اگر کسی Activity کے لیے T_i اور T_f overlap کرے تو وہ

activities. Because they occupy the resource and keep us from honoring other requests. This suggests the greedy strategy: Repeatedly select the activity with the smallest duration ($f_i - s_i$) and schedule it, provided that it does not interfere with any previously scheduled activities. Unfortunately, this turns out to be non-optimal.

Here is a simple greedy algorithm that works: Sort the activities by their finish times. Select the activity that finishes first and schedule it. Then, among all activities that do not interfere with this first job, schedule the one that finishes first, and so on.

```

SCHEDULE(a[1..N])
1  sort a[1..N] by finish times
2  A ← {a[1]}; // schedule activity 1 first
3  prev ← 1; // most recently scheduled
4  for i = 2 to N
5  do if (a[i].start ≥ a[prev].finish)
6     then A ← A ∪ a[i]; prev ← i

```

Figure 7.5 shows an example of the activity scheduling algorithm. There are eight activities to be scheduled. Each is represented by a rectangle. The width of a rectangle indicates the duration of an activity. The eight activities are sorted by their finish times. The eight rectangles are arranged to show the sorted order. Activity a_1 is scheduled first. Activities a_2 and a_3 interfere with a_1 so they are not selected. The next to be selected is a_4 . Activities a_5 and a_6 interfere with a_4 so are not chosen. The last one to be chosen is a_7 . Eventually, only three out of the eight are scheduled.

Timing analysis: Time is dominated by sorting of the activities by finish times. Thus the complexity is

$O(N \log N)$

Time $\rightarrow N$

Handwritten notes in Urdu: "Activity (س) Hall جو" and "Activity (س) Hall جو".

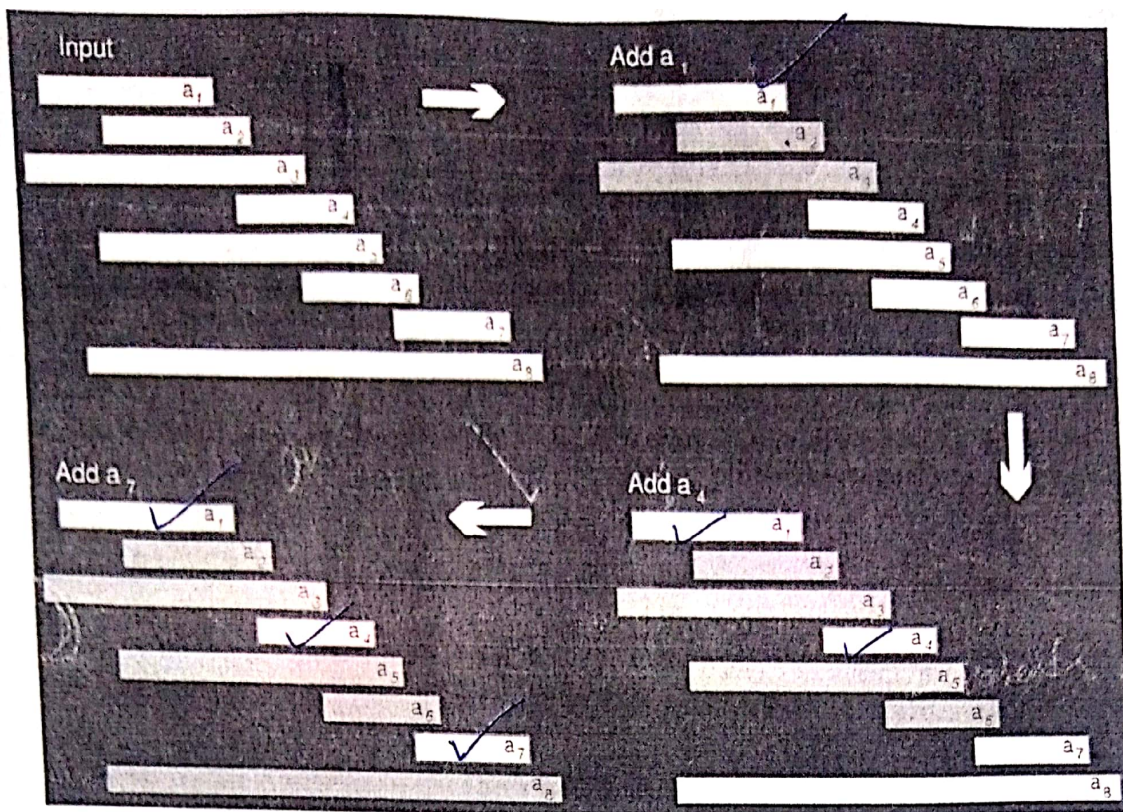


Figure 7.5: Example of greedy activity scheduling algorithm

3-activities

7.3.1 Correctness of Greedy Activity Selection

Our proof of correctness is based on showing that the first choice made by the algorithm is the best possible. And then using induction to show that the algorithm is globally optimal. The proof structure is noteworthy because many greedy correctness proofs are based on the same idea: Show that any other solution can be converted into the greedy solution without increasing the cost.

Claim:

Time.

Let $S = \{a_1, a_2, \dots, a_n\}$ of n activities, sorted by increasing finish times, that are to be scheduled to use some resource. Then there is an optimal schedule in which activity a_1 is scheduled first.

Proof:

Let A be an optimal schedule. Let x be the activity in A with the smallest finish time. If $x = a_1$ then we are done. Otherwise, we form a new schedule A' by replacing x with activity a_1 .

Activity should not be overlapp.

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The greedy algorithm gives an optimal solution to the activity scheduling problem.

Proof:

The proof is by induction on the number of activities. For the basis case, if there are no activities, then the greedy algorithm is trivially optimal. For the induction step, let us assume that the greedy algorithm is optimal on any set of activities of size strictly smaller than $|S|$ and we prove the result for S . Let S' be the set of activities that do not interfere with activity a_1 . That is

$$S' = \{a_i \in S \mid s_i \geq f_1\}$$

Any solution for S' can be made into a solution for S by simply adding activity a_1 , and vice versa. Activity a_1 is in the optimal schedule (by the above previous claim). It follows that to produce an optimal schedule for the overall problem, we should first schedule a_1 and then append the optimal schedule for S' . But by induction (since $|S'| < |S|$), this exactly what the greedy algorithm does.

آپ کے پاس ایک bag ہوتا ہے جس میں آپ زیادہ سے زیادہ چیزیں ڈالنے کی کوشش کرتے ہیں اس کے وزن اور حجم کا خیال رکھتے ہوئے۔

7.4 Fractional Knapsack Problem

Earlier we saw the 0-1 knapsack problem. A knapsack can only carry W total weight. There are n items; the i^{th} item is worth v_i and weighs w_i . Items can either be put in the knapsack or not. The goal was to maximize the value of items without exceeding the total weight limit of W . In contrast, in the fractional knapsack problem, the setup is exactly the same. But, one is allowed to take fraction of an item for a fraction of the weight and fraction of value. The 0-1 knapsack problem is hard to solve. However, there is a simple and efficient greedy algorithm for the fractional knapsack problem.

Let $\rho_i = v_i/w_i$ denote the value per unit weight ratio for item i . Sort the items in decreasing order of ρ_i . Add items in decreasing order of ρ_i . If the item fits, we take it all. At some point there is an item that does not fit in the remaining space. We take as much of this item as possible thus filling the knapsack completely.

اگر کوئی چیز نہیں ہو سکتی ہے تو وہ ان کو اپنے bag میں ڈالنا چاہیے تو ان چیزوں سے ملے کر لے جائیں گے۔

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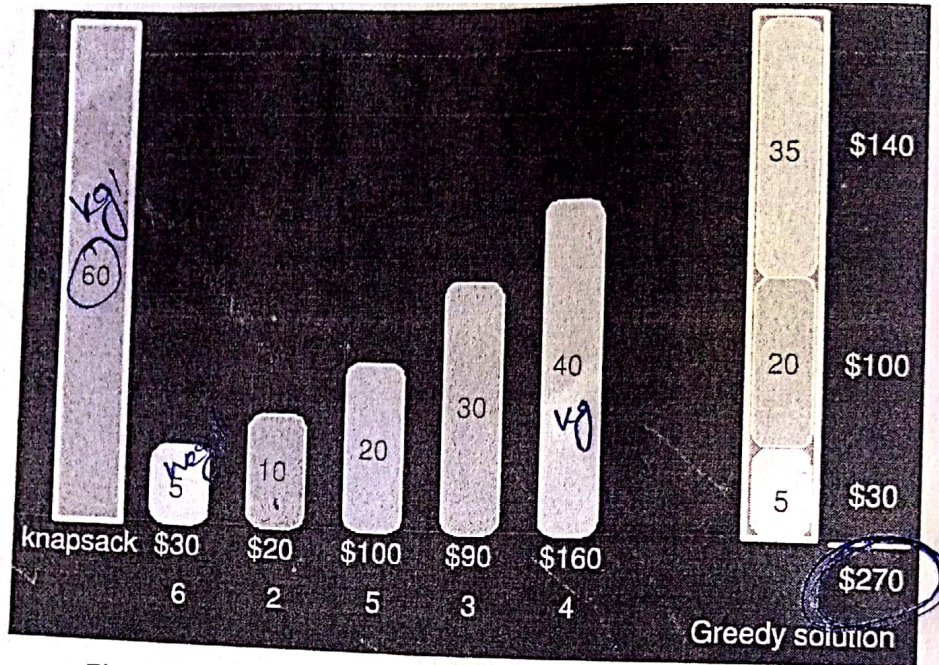


Figure 7.8: Greedy solution to the fractional knapsack problem

گولڈ کی 40 kg اور سونے کی 20 kg اور چاندی کی 30 kg۔ اس میں سے ہر قسم کی چیزیں لے سکتے ہیں۔
 باقی بچاؤ کے لئے سونے میں بھی۔ اب ہم \$100 کے لئے 5 kg اور \$30 کے لئے 6 kg لے سکتے ہیں۔
 باقی بچاؤ کے لئے چاندی میں بھی۔ اب ہم \$100 کے لئے 2 kg اور \$30 کے لئے 5 kg لے سکتے ہیں۔

It is easy to see that the greedy algorithm is optimal for the fractional knapsack problem. Given a room with sacks of gold, silver and bronze, one (thief?) would probably take as much gold as possible. Then take as much silver as possible and finally as much bronze as possible. It would never benefit to take a little less gold so that one could replace it with an equal weight of bronze.

We can also observe that the greedy algorithm is not optimal for the 0-1 knapsack problem. Consider the example shown in the Figure 7.9. If you were to sort the items by ρ_i , then you would first take the items of weight 5, then 20, and then (since the item of weight 40 does not fit) you would settle for the item of weight 30, for a total value of $\$30 + \$100 + \$90 = \220 . On the other hand, if you had been less greedy, and ignored the item of weight 5, then you could take the items of weights 20 and 40 for a total value of $\$100 + \$160 = \$260$. This is shown in Figure 7.10.

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Lect # 28

Chapter 8

Graphs

For use to solve any problem.

We begin a major new topic: Graphs. Graphs are important discrete structures because they are a flexible mathematical model for many application problems. Any time there is a set of objects and there is some sort of "connection" or "relationship" or "interaction" between pairs of objects, a graph is a good way to model this. Examples of this can be found in computer and communication networks transportation networks, e.g., roads VLSI, logic circuits surface meshes for shape description in computer-aided design and GIS precedence constraints in scheduling systems.

A graph $G = (V, E)$ consists of a finite set of vertices V (or nodes) and E , a binary relation on V called edges. E is a set of pairs from V . If a pair is ordered, we have a directed graph. For unordered pair, we have an undirected graph.

Roads

$V \rightarrow 1, 2, 3, 4$

Undirected →
 اگر ایک گھر سے سفر شروع کیا جائے
 کھوا کھوا کھوا کھوا کھوا کھوا
 تو وہ لوپ ہے
 loop

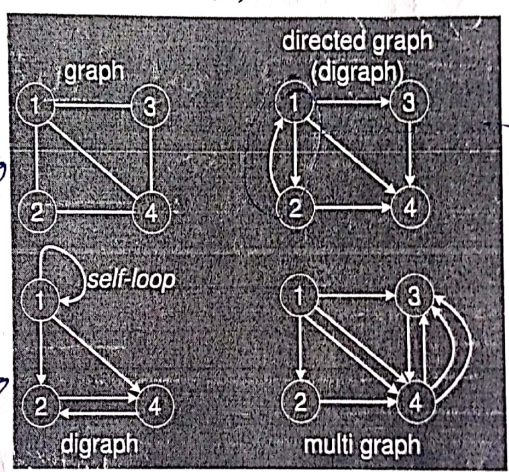


Figure 8.1: Types of graphs

A vertex w is adjacent to vertex v if there is an edge from v to w .

B/-
 Mudassar Iqbal!

Undirected
→
graph.

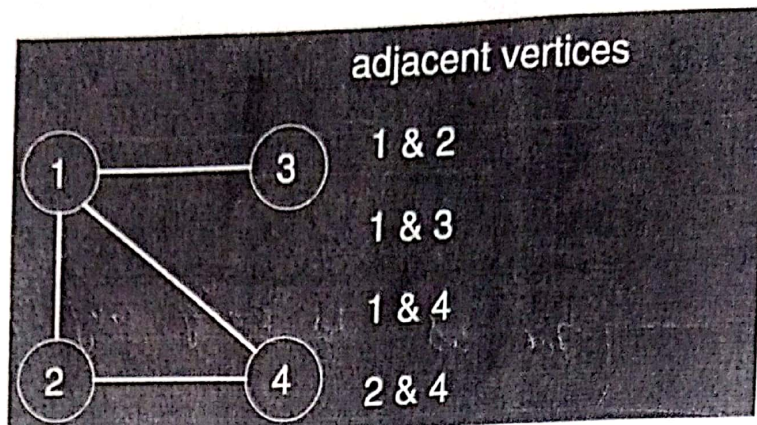


Figure 8.2: Adjacent vertices

In an undirected graph, we say that an edge is *incident* on a vertex if the vertex is an endpoint of the edge. of the edge

1-3
e2

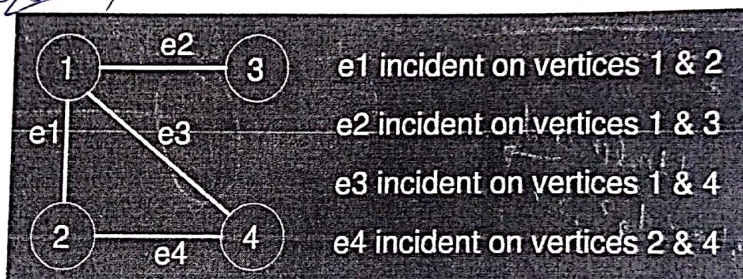


Figure 8.3: Incidence of edges on vertices

جب ہم کسی vertex سے نکلتے ہیں تو اسے out-degree اور جب ہم کسی vertex پر آتے ہیں تو اسے in-degree کہتے ہیں۔

In a digraph, the number of edges coming out of a vertex is called the *out-degree* of that vertex. Number of edges coming in is the *in-degree*. In an undirected graph, we just talk of degree of a vertex. It is the number of edges incident on the vertex.

جب ہم اس سے نکلتے ہیں تو اسے out degree کہتے ہیں۔

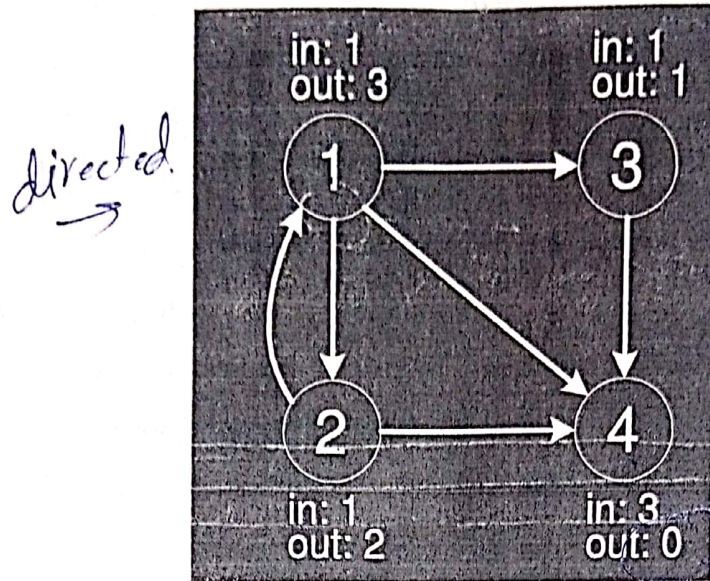


Figure 8.4: In and out degrees of vertices of a graph

اس کے درجے سے
 واپس کی طرف
 آگے سے ہم out میں ہوتے ہیں

For a digraph $G = (V, E)$,

$$\sum_{v \in V} \text{in-degree}(v) = \sum_{v \in V} \text{out-degree}(v) = |E| \text{ edges.}$$

where $|E|$ means the cardinality of the set E , i.e., the number of edges.

For an undirected graph $G = (V, E)$,

$$\sum_{v \in V} \text{degree}(v) = 2|E|$$

where $|E|$ means the cardinality of the set E , i.e., the number of edges.

A path in a directed graphs is a sequence of vertices $\langle v_0, v_1, \dots, v_k \rangle$ such that (v_{i-1}, v_i) is an edge for $i = 1, 2, \dots, k$. The length of the paths is the number of edges, k . A vertex w is reachable from vertex u if there is a path from u to w . A path is simple if all vertices (except possibly the first and last) are distinct.

A cycle in a digraph is a path containing at least one edge and for which $v_0 = v_k$. A Hamiltonian cycle is a cycle that visits every vertex in a graph exactly once. A Eulerian cycle is a cycle that visits every edge of the graph exactly once. There are also "path" versions in which you do not need return to the starting vertex.

edges.

اگر ایک vertex سے شروع کریں اور گومر گھمنا کہ واپس اس vertex پر واپس آجائیں اس Hamiltonian cycle کہیں ہیں
 اگر ایک vertex سے جگہ جگہ واپس اس edges پر واپس آجائیں اس Eulerian cycle کہیں ہیں

اگر ہم ایک node کو ایک دفعہ ہی visit نہیں کرتے ہیں تو cycle ہے۔

acyclic graph

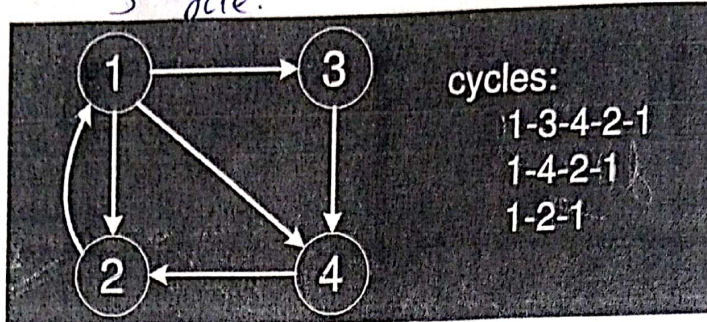


Figure 8.5: Cycles in a directed graph

A graph is said to be *acyclic* if it contains no cycles. A graph is *connected* if every vertex can reach every other vertex. A directed graph that is acyclic is called a *directed acyclic graph (DAG)*.

There are two ways of representing graphs: using an adjacency matrix and using an adjacency list. Let $G = (V, E)$ be a digraph with $n = |V|$ and let $e = |E|$. We will assume that the vertices of G are indexed $\{1, 2, \dots, n\}$.

An *adjacency matrix* is a $n \times n$ matrix defined for $1 \leq v, w \leq n$.

$$A[v, w] = \begin{cases} 1 & \text{if } (v, w) \in E \\ 0 & \text{otherwise} \end{cases}$$

An *adjacency list* is an array $Adj[1..n]$ of pointers where for $1 \leq v \leq n$, $Adj[v]$ points to a linked list containing the vertices which are adjacent to v .

Adjacency matrix requires $\Theta(n^2)$ storage and adjacency list requires $\Theta(n + e)$ storage.

اگر رائے ہو تو اس سے آرتھ ہو تو اس سے

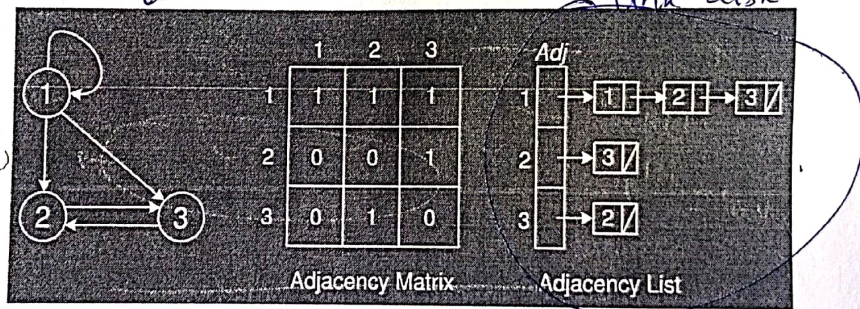


Figure 8.6: Graph Representations

8.1 Graph Traversal

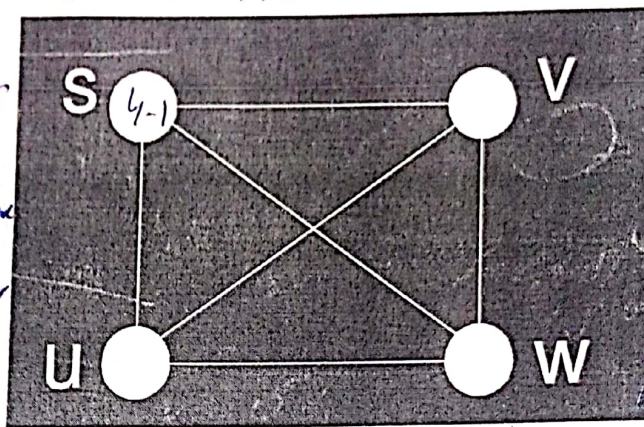
To motivate our first algorithm on graphs, consider the following problem. We are given an undirected graph $G = (V, E)$ and a *source vertex* $s \in V$. The *length* of a path in a graph is the number of edges on

link list میں ویسی ہوں گے جو رائے ہو تو اس سے آرتھ ہو تو اس سے

the path. We would like to find the shortest path from s to each other vertex in the graph. The final result will be represented in the following way. For each vertex $v \in V$, we will store $d[v]$ which is the *distance* (length of the shortest path) from s to v . Note that $d[s] = 0$. We will also store a predecessor (or parent) pointer $\pi[v]$ which is the first vertex along the shortest path if we walk from v backwards to s . We will set $\pi[s] = Nil$.

There is a simple brute-force strategy for computing shortest paths. We could simply start enumerating all simple paths starting at s , and keep track of the shortest path arriving at each vertex. However, there can be as many as $n!$ simple paths in a graph. To see this, consider a fully connected graph shown in Figure 8.7

آپ اپنے vertex سے شروع کریں اور ہر vertex کو جو وہاں سے پہنچ سکتے ہیں



Vertex میں ہوں گے
حتمی طور پر انہیں
Shortest راستہ دیکھو
گھر 2 = 4 پر

4-1
Vertex کو دیکھیں کہ آتے ہیں
دوسرے vertex پر

Figure 8.7: Fully connected graph

4 vertex

There n choices for source node s , $(n-1)$ choices for destination node, $(n-2)$ for first hop (edge) in the path, $(n-3)$ for second, $(n-4)$ for third down to $(n-(n-1))$ for last leg. This leads to $n!$ simple paths. Clearly this is not feasible.

Lect # 28
8.1.1

Breadth-first Search

Binary search tree
line BST
اس میں آ پاراڈکس سے شروع کریں
اس کے ساتھ ساتھ تمام nodes کو visit کریں
(Leaf nodes) کو

Here is a more efficient algorithm called the breadth-first search (BFS). Start with s and visit its adjacent nodes. Label them with distance 1. Now consider the neighbors of neighbors of s . These would be at distance 2. Now consider the neighbors of neighbors of neighbors of s . These would be at distance 3. Repeat this until no more unvisited neighbors left to visit. The algorithm can be visualized as a wave front propagating outwards from s visiting the vertices in bands at ever increasing distances from s .

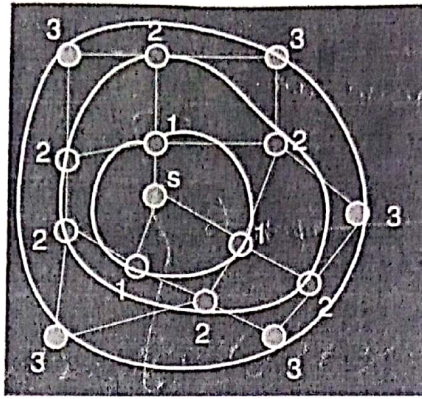


Figure 8.11: Wave reaching distance 3 vertices during BFS

8.1.2 Depth-first Search مکان کے طور پر آپ ایک نئی Building میں گئے اور آپ کو یہاں کوئی پتہ نہیں

Breadth-first search is one instance of a general family of graph traversal algorithms. Traversing a graph means visiting every node in the graph. Another traversal strategy is depth-first search (DFS). DFS procedure can be written recursively or non-recursively. Both versions are passed s initially.

```

RECURSIVEDFS(v)
1  if (v is unmarked)
2  then mark v
3  for each edge (v, w)
4  do RECURSIVEDFS(w)
    
```

یہ کہ اس کے ساتھ کوئی نوٹ لیا گیا ہے کہ اس سے پہلے سے
 اگر کوئی نوٹ لیا گیا ہے تو اس کو مارک کر دیا جائے گا
 ہر نوٹ کے ساتھ اس کے ساتھ ہر نوٹ کے ساتھ ہر نوٹ کے ساتھ

```

ITERATIVEDFS(s)
1  PUSH(s)
2  while stack not empty
3  do v ← POP()
4  if v is unmarked
5  then mark v
6  for each edge (v, w)
7  do PUSH(w)
    
```

گھوم کر لیتے ہیں۔ اس کے ساتھ ہر نوٹ کے ساتھ ہر نوٹ کے ساتھ
 جب آپ ایک نوٹ کو لے کر آتے ہیں تو اس کو
 لے کر آتے ہیں تاکہ دوبارہ اس کو لے کر آتے ہیں

8.1.3 Generic Graph Traversal Algorithm

The generic graph traversal algorithm stores a set of candidate edges in some data structures we'll call a "bag". The only important properties of the "bag" are that we can put stuff into it and then later take stuff

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back out. Here is the generic traversal algorithm.

```

TRaverse(s)
1  put (s) in bag
2  while bag not empty
3  do take (p, v) from bag
4     if (v is unmarked)
5         then mark v
6             parent(v) ← p
7             for each edge (v, w)
8                 do put (v, w) in bag
  
```

Handwritten notes: "Main room" with an arrow pointing to line 1; "parents" with an arrow pointing to line 4; a large right-facing curly brace grouping lines 4 through 8.

Notice that we are keeping edges in the bag instead of vertices. This is because we want to remember, whenever we visit v for the first time, which previously-visited vertex p put v into the bag. The vertex p is called the *parent of v* .

The running time of the traversal algorithm depends on how the graph is represented and what data structure is used for the bag. But we can make a few general observations:

- Lect#30*
- Since each vertex is visited at most once, the for loop in line 7 is executed at most V times.
 - Each edge is put into the bag exactly twice; once as (u, v) and once as (v, u) , so line 8 is executed at most $2E$ times.
 - Finally, since we can't take out more things out of the bag than we put in, line 3 is executed at most $2E + 1$ times.
 - Assume that the graph is represented by an adjacency list so the overhead of the for loop in line 7 is constant per edge.

If we implement the bag by using a *stack*, we have *depth-first search (DFS)* or traversal.

```

TRaverse(s) → Stack
1  push(∅, s)
2  while stack not empty
3  do pop(p, v)
4     if (v is unmarked)
5         then mark v
6             parent(v) ← p
7             for each edge (v, w)
8                 do push(v, w)
  
```

Handwritten notes: "Stack" with an arrow pointing to line 1; "connected room" with an arrow pointing to line 8; "370" written below line 8.

Figures 8.12 to 8.20 show a trace of the DFS algorithm applied to a graph. The figures show the content of the stack during the execution of the algorithm.

or line 8 still takes constant time. So overall running time is still $O(E)$.

```

TRaverse(s)
1 enqueue( $\emptyset, s$ )
2 while queue not empty
3 do dequeue(p, v)
4   if (v is unmarked)
5     then mark v
6         parent(v)  $\leftarrow$  p
7     for each edge (v, w)
8       do enqueue(v, w)
    
```

If the graph is represented using an *adjacency matrix*, the finding of all the neighbors of vertex in line 7 takes $O(V)$ time. Thus depth-first and breadth-first take $O(V^2)$ time overall.

Either DFS or BFS yields a spanning tree of the graph. The tree visits every vertex in the graph. This fact is established by the following lemma:

Lemma:

The generic TRaverse(S) marks every vertex in any connected graph exactly once and the set of edges $(v, \text{parent}(v))$ with $\text{parent}(v) \neq \emptyset$ form a spanning tree of the graph.

Proof:

First, it should be obvious that no vertex is marked more than once. Clearly, the algorithm marks s . Let $v \neq s$ be a vertex and let $s \rightarrow \dots \rightarrow u \rightarrow v$ be a path from s to v with the minimum number of edges.

Since the graph is connected, such a path always exists. If the algorithm marks u , then it must put (u, v) into the bag, so it must take (u, v) out of the bag at which point v must be marked. Thus, by induction on the shortest-path distance from s , the algorithm marks every vertex in the graph.

Call an edge $(v, \text{parent}(v))$ with $\text{parent}(v) \neq \emptyset$, a *parent edge*. For any node v , the path of parent edges $v \rightarrow \text{parent}(v) \rightarrow \text{parent}(\text{parent}(v)) \rightarrow \dots$ eventually leads back to s . So the set of parent edges form a connected graph.

Clearly, both end points of every parent edge are marked, and the number of edges is exactly one less than the number of vertices. Thus, the parent edges form a *spanning tree*.

Lect #3
 8.1.4 *depth first search.*
 DFS - Timestamp Structure

As we traverse the graph in DFS order, we will associate two numbers with each vertex. When we first discover a vertex u , store a counter in $d[u]$. When we are finished processing a vertex, we store a counter in $f[u]$. These two numbers are time stamps.

Consider the recursive version of depth-first traversal

depth first search.

```

DFS(G)
1 for (each u ∈ V)
2   do color[u] ← white still not visit.
3     pred[u] ← nil
4   time ← 0
5   for each u ∈ V vertices ko
6     do if (color[u] = white)
7       then DFSVISIT(u)
    
```

The DFSVISIT routine is as follows:

```

DFSVISIT(u)
1 color[u] ← gray; // mark u visited
2 d[u] ← ++ time
3 for (each v ∈ Adj[u]) visit karo jab tak white hai.
4   do if (color[v] = white)
5     then pred[v] ← u visit karo jab tak black nahi ho.
6       DFSVISIT(v)
7 color[u] ← black; // we are done with u
8 f[u] ← ++ time;
    
```

Figures 8.21 through 8.25 present a trace of the execution of the time stamping algorithm. Terms like "2/5" indicate the value of the counter (time). The number before the "/" is the time when a vertex was discovered (colored gray) and the number after the "/" is the time when the processing of the vertex finished (colored black).

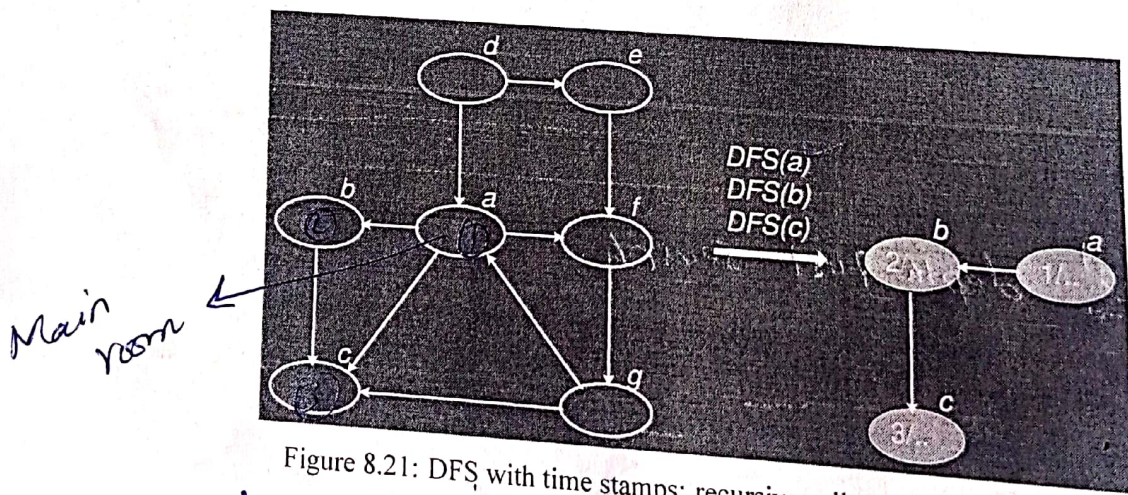


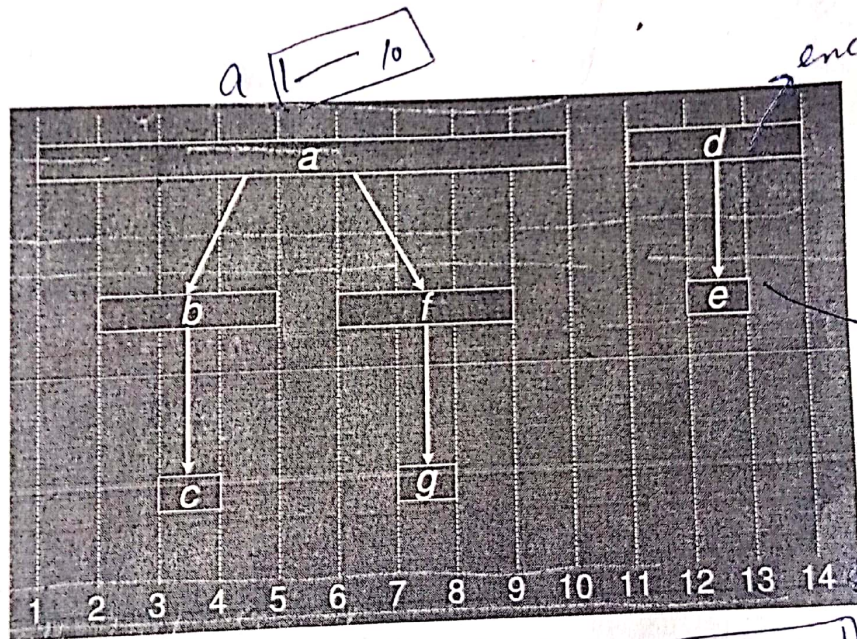
Figure 8.21: DFS with time stamps: recursive calls initiated at vertex 'a'

Forward edge: (u, v) where v is a proper descendent of u in the tree.

Cross edge: (u, v) where u and v are not ancestor or descendent of one another. In fact, the edge may go between different trees of the forest.

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The ancestor and descendent relation can be nicely inferred by the parenthesis lemma. u is a descendent of v if and only if $[d[u], f[u]] \subseteq [d[v], f[v]]$. u is an ancestor of v if and only if $[d[u], f[u]] \supseteq [d[v], f[v]]$. u is unrelated to v if and only if $[d[u], f[u]]$ and $[d[v], f[v]]$ are disjoint. This is shown in Figure 8.26. The width of the rectangle associated with a vertex is equal to the time the vertex was discovered till the time the vertex was completely processed (colored black). Imagine an opening parenthesis '(' at the start of the rectangle and a closing parenthesis ')' at the end of the rectangle. The rectangle (parentheses) for vertex 'b' is completely enclosed by the rectangle for 'a'. Rectangle for 'c' is completely enclosed by vertex 'b' rectangle.



شجرة الأشجار
في

ences
d, e are cross edges
b, c, g
d is descendent of a

Figure 8.26: Parenthesis lemma

b, f, c, g are descendent
a is ancestor

Figure 8.27 shows the classification of the non-tree edges based on the parenthesis lemma. Edges are labelled 'F', 'B' and 'C' for forward, back and cross edge respectively.

lect # 32

Forward, Back
 ايس طرف سے آيا ہے
 Lock edges

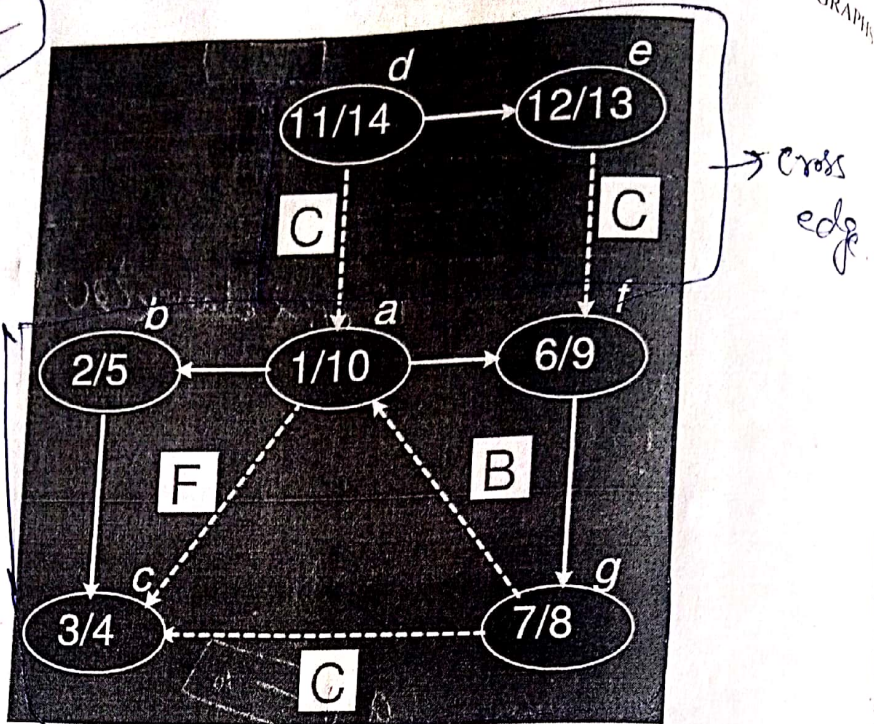


Figure 8.27: Classification of non-tree edges in the DFS tree for a graph

For undirected graphs, there is no distinction between forward and back edges. By convention they are all called back edges. Furthermore, there are no cross edges (can you see why not?)

8.1.5 DFS - Cycles

The time stamps given by DFS allow us to determine a number of things about a graph or digraph. For example, we can determine whether the graph contains any cycles. We do this with the help of the following two lemmas.

Lemma: Given a digraph $G = (V, E)$, consider any DFS forest of G and consider any edge $(u, v) \in E$. If this edge is a tree, forward or cross edge, then $f[u] > f[v]$. If this edge is a back edge, then $f[u] \leq f[v]$.

Proof: For the non-tree forward and back edges the proof follows directly from the parenthesis lemma. For example, for a forward edge (u, v) , v is a descendent of u and so v 's start-finish interval is contained within u 's implying that v has an earlier finish time. For a cross edge (u, v) we know that the two time intervals are disjoint. When we were processing u , v was not white (otherwise (u, v) would be a tree edge), implying that v was started before u . Because the intervals are disjoint, v must have also finished before u .

Lemma: Consider a digraph $G = (V, E)$ and any DFS forest for G . G has a cycle if and only if the DFS forest has a *back edge*.

اگر ہم گراف میں واپس اسی جگہ آجائیں تو وہ Back edge ہوگا اور اسے ہی cycle کہتے ہیں

Proof: If there is a back edge (u, v) then v is an ancestor of u and by following tree edge from v to u , we get a cycle.

We show the contrapositive: suppose there are no back edges. By the lemma above, each of the remaining types of edges, tree, forward, and cross all have the property that they go from vertices with higher finishing time to vertices with lower finishing time. Thus along any path, finish times decrease monotonically, implying there can be no cycle.

The DFS forest in Figure 8.27 has a back edge from vertex 'g' to vertex 'a'. The cycle is 'a-g-f'.

Beware: No back edges means no cycles. But you should not infer that there is some simple relationship between the number of back edges and the number of cycles. For example, a DFS tree may only have a single back edge, and there may anywhere from one up to an exponential number of simple cycles in the graph.

A similar theorem applies to undirected graphs, and is not hard to prove.

انگریزی گراف میں Back edge ایک ہو تو اسکا مطلب ہے کہ گراف میں cycle ہے (یعنی یہ cycle ہے اور بھی ہو سکتا ہے)

8.2 Precedence Constraint Graph

A directed acyclic graph (DAG) arise in many applications where there are precedence or ordering constraints. There are a series of tasks to be performed and certain tasks must precede other tasks. For example, in construction, you have to build the first floor before the second floor but you can do electrical work while doors and windows are being installed. In general, a precedence constraint graph is a DAG in which vertices are tasks and the edge (u, v) means that task u must be completed before task v begins.

For example, consider the sequence followed when one wants to dress up in a suit. One possible order and its DAG are shown in Figure 8.28. Figure 8.29 shows the DFS with time stamps of the DAG.

جس گراف میں cycle موجود نہیں ہوتا اسے (DAG) کہتے ہیں۔ اس میں Back edge نہیں ہوتا۔

اس کے اندر آئیے اور اس کو سیکھیں اور اس کو اپنی کتاب میں لکھیں۔
 Next (C1) سے شروع کریں

C1	Introduction to Computers	
C2	Introduction to Computer Programming	
C3	Discrete Mathematics	
C4	Data Structures	C2
C5	Digital Logic Design	C2
C6	Automata Theory	C3
C7	Analysis of Algorithms	C3, C4
C8	Computer Organization and Assembly	C2
C9	Data Base Systems	C4, C7
C10	Computer Architecture	C4, C5, C8
C11	Computer Graphics	C4, C7
C12	Software Engineering	C7, C11
C13	Operating System	C4, C7, C11
C14	Compiler Construction	C4, C6, C8
C15	Computer Networks	C4, C7, C10

Table 8.1: Prerequisites for CS courses

The prerequisites can be represented with a precedence constraint graph which is shown in Figure 8.30

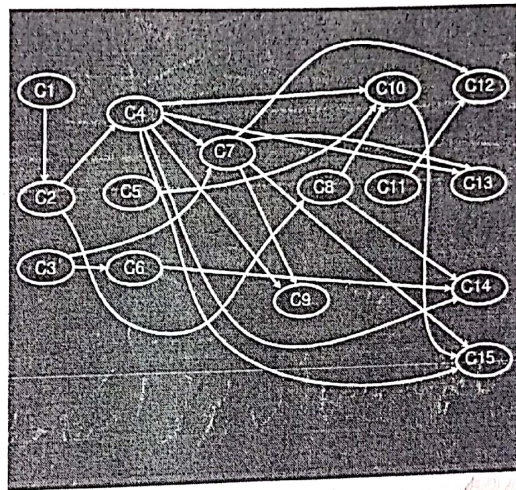


Figure 8.30: Precedence constraint graph for CS courses

8.3 Topological Sort

directed acyclic graph changes into linear ordering.

A topological sort of a DAG is a linear ordering of the vertices of the DAG such that for each edge (u, v) , u appears before v in the ordering.

Computing a topological ordering is actually quite easy, given a DFS of the DAG. For every edge (u, v) in a DAG, the finish time of u is greater than the finish time of v (by the lemma). Thus, it suffices to output the vertices in the reverse order of finish times.

lect
33

8.4 Strong Components

Example of DFS

We consider an important connectivity problem with digraphs. When digraphs are used in communication and transportation networks, people want to know that their networks are complete. Complete in the sense that it is possible from any location in the network to reach any other location in the digraph.

A digraph is strongly connected if for every pair of vertices $u, v \in V$, u can reach v and vice versa. We would like to write an algorithm that determines whether a digraph is strongly connected. In fact, we will solve a generalization of this problem, of computing the strongly connected components of a digraph.

We partition the vertices of the digraph into subsets such that the induced subgraph of each subset is strongly connected. We say that two vertices u and v are mutually reachable if u can reach v and vice versa. Consider the directed graph in Figure 8.32. The strong components are illustrated in Figure 8.33.

Backward edges are strong connected.

Not strong.

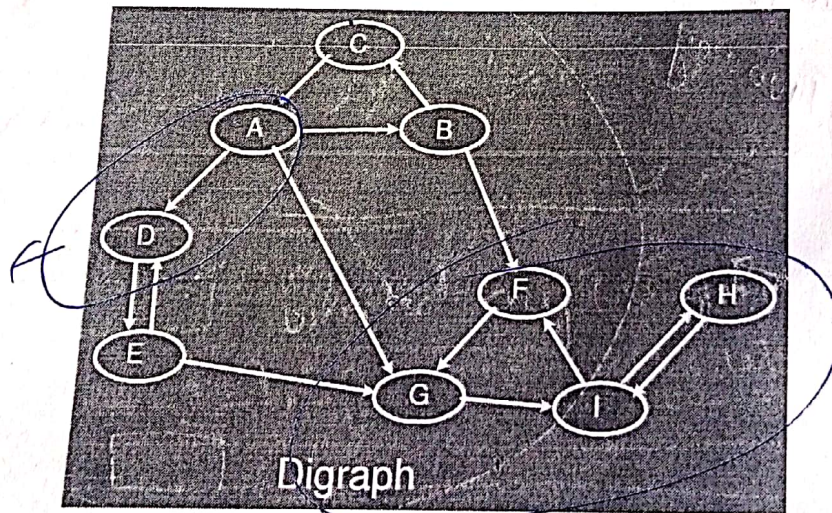
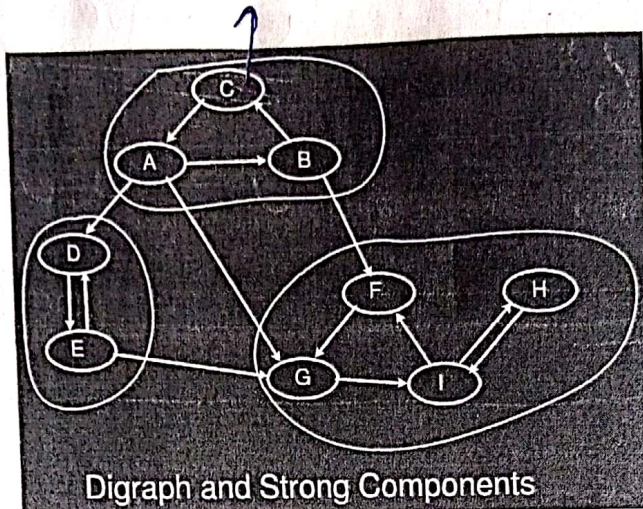


Figure 8.32: A directed graph

Strong

connectivity

Strong compon. وہ گونے ہیں جس میں ہر کسی Node سے جانے جائیں گے اور آ سکتے ہیں



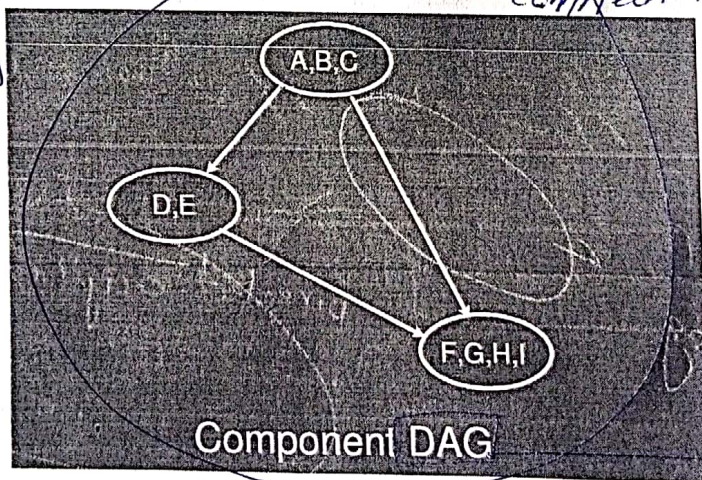
Digraph and Strong Components

Figure 8.33: Digraph with strong components

It is easy to see that mutual reachability is an *equivalence relation*. This equivalence relation partitions the vertices into equivalence classes of mutually reachable vertices and these are the strong components.

If we merge the vertices in each strong component into a single *super vertex*, and join two super vertices (A, B) if and only if there are vertices $u \in A$ and $v \in B$ such that $(u, v) \in E$, then the resulting digraph is called the *component digraph*. The component digraph is necessarily acyclic. The is illustrated in Figure 8.34.

Strong connectivity
Two way



Component DAG

Figure 8.34: Component DAG of super vertices

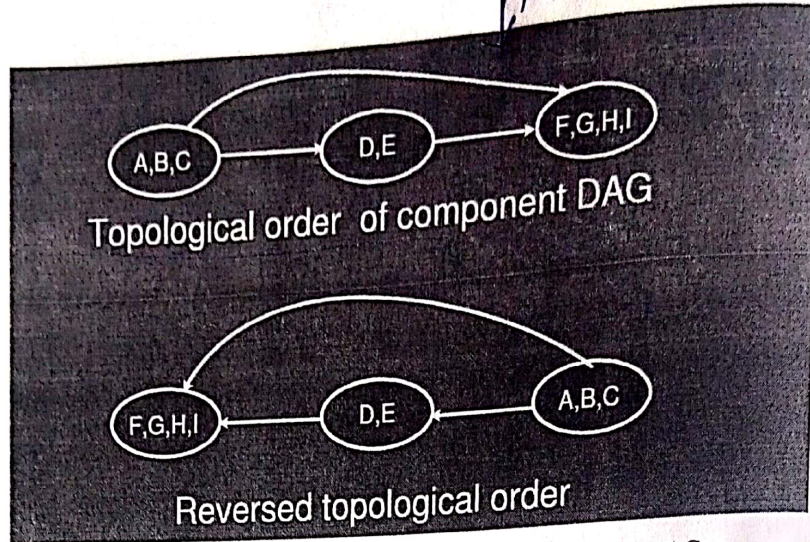
connectivity

but not strong connectivity.

directed acyclic graph

جب ہم vertex کو ایک دوسرے سے manage کر دیں تو وہ Super vertex کہلاتے ہیں

Is there a way to order the DFS such that it true? Fortunately, the answer is "yes". Suppose that you know the component DAG in advance. (This is ridiculous, because you would need to know the strong components and this is the problem we are trying to solve.) Further, suppose that you computed a reversed topological order on the component DAG. That is, for edge (u, v) in the component DAG, then v comes before u . This is presented in Figure 8.37. Recall that the component DAG consists of super vertices.



Forward

Figure 8.37: Reversed topological sort of component DAG

Topology, move from right to left

Lecture #34

Now, run DFS, but every time you need a new vertex to start the search from, select the next available vertex according to this reverse topological order of the component digraph. Here is an informal justification. Clearly once the DFS starts within a given strong component, it must visit every vertex within the component (and possibly some others) before finishing. If we do not start in reverse topological, then the search may "leak out" into other strong components, and put them in the same DFS tree. For example, in the Figure 8.36, when the search is started at vertex 'a', not only does it visit its component with 'b' and 'c', but it also visits the other components as well. However, by visiting components in reverse topological order of the component tree, each search cannot "leak out" into other components, because other components would have already have been visited earlier in the search.

This leaves us with the intuition that if we could somehow order the DFS, so that it hits the strong components according to a reverse topological order, then we would have an easy algorithm for computing strong components. However, we do not know what the component DAG looks like. (After all, we are trying to solve the strong component problem in the first place). The trick behind the strong component algorithm is that we can find an ordering of the vertices that has essentially the necessary property, without actually computing the component DAG.

We will discuss the algorithm without proof. Define G^T to be the digraph with the same vertex set as G but in which all edges have been reversed in direction. This is shown in Figure 8.38. Given an adjacency list for G , it is possible to compute G^T in $\Theta(V + E)$ time.

Reverse edges G^T is simple

direction change

مثال کے طور پر آپ ایک شہر میں سے Cable لگانا چاہتے ہیں اس کا Head office بنائیں اس کے بعد اس کو گوگھر بھی بھیجنا پڑے گا تو اس کا کم از کم خرچہ 42 کا ہو گا کہ کنسی

8.5 Minimum Spanning Trees

MST

اس کو آپ calculator پر کریں گے

A common problem is communications networks and circuit design is that of connecting together a set of nodes by a network of total minimum length. The length is the sum of lengths of connecting wires. Consider, for example, laying cable in a city for cable t.v.

The computational problem is called the minimum spanning tree (MST) problem. Formally, we are given a connected, undirected graph $G = (V, E)$. Each edge (u, v) has numeric weight of cost. We define the cost of a spanning tree T to be the sum of the costs of edges in the spanning tree

weight of tree $w(T) = \sum_{(u,v) \in T} w(u,v)$

1) جو کم خرچہ کیا
2) Time اور Space

A minimum spanning tree is a tree of minimum weight.

Figures ??, ?? and ?? show three spanning trees for the same graph. The first is a spanning tree but is not a MST; the other two are.

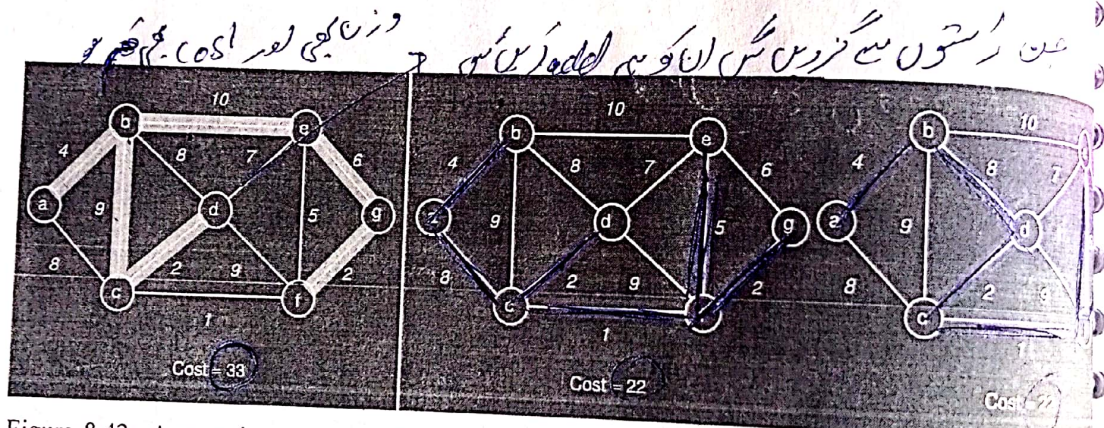


Figure 8.42: A spanning tree that is not MST

Figure 8.43: A minimum spanning tree

Figure 8.44: Another minimum spanning tree

We will present two greedy algorithms (Kruskal's and Prim's) for computing MST. Recall that a greedy algorithm is one that builds a solution by repeatedly selecting the cheapest among all options at each stage. Once the choice is made, it is never undone.

Before presenting the two algorithms, let us review facts about free trees. A free tree is a tree with no vertex designated as the root vertex. A free tree with n vertices has exactly $n - 1$ edges. There exists a unique path between any two vertices of a free tree. Adding any edge to a free tree creates a unique cycle. Breaking any edge on this cycle restores the free tree. This is illustrated in Figure 8.45. When the edges (b, e) or (b, d) are added to the free tree, the result is a cycle.

سی Vertices میں Root کو ہونا چاہیے اس میں cycle نہیں بننا

اس Tree جس میں تمام vertices کو visit کر کے Spanning Tree

8.5. MINIMUM SPANNING TREES

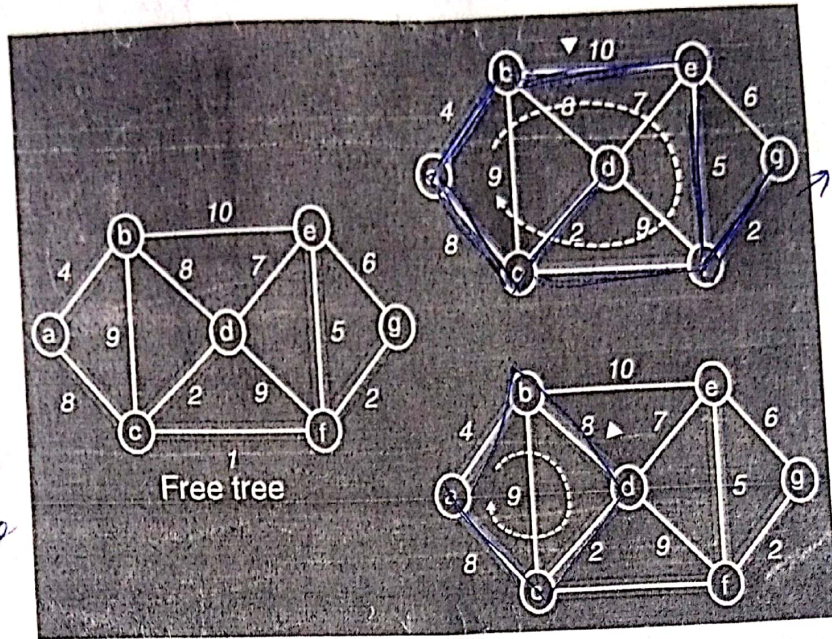


Figure 8.45: Free tree facts

Free Tree
 cycle کو visit

→ cycle

→ cycle

اس میں cycle کو visit کر کے Root

8.5.1 Computing MST: Generic Approach

Let $G = (V, E)$ be an undirected, connected graph whose edges have numeric weights. The intuition behind greedy MST algorithm is simple: we maintain a subset of edges E of the graph. Call this subset A . Initially, A is empty. We will add edges one at a time until A equals the MST.

A subset $A \subseteq E$ is viable if A is a subset of edges of some MST. An edge $(u, v) \in E - A$ is safe if $A \cup \{(u, v)\}$ is viable. In other words, the choice (u, v) is a safe choice to add so that A can still be extended to form a MST.

Note that if A is viable, it cannot contain a cycle. A generic greedy algorithm operates by repeatedly adding any safe edge to the current spanning tree.

When is an edge safe? Consider the theoretical issues behind determining whether an edge is safe or not. Let S be a subset of vertices $S \subseteq V$. A cut $(S, V - S)$ is just a partition of vertices into two disjoint subsets. An edge (u, v) crosses the cut if one endpoint is in S and the other is in $V - S$.

Given a subset of edges A , a cut respects A if no edge in A crosses the cut. It is not hard to see why respecting cuts are important to this problem. If we have computed a partial MST and we wish to know which edges can be added that do not induce a cycle in the current MST, any edge that crosses a respecting cut is a possible candidate.

یہ سب

8.5.3 **Kruskal's Algorithm**
 Lect# 36

جس طرح tree بنا رہے ہوں میں تو کوشش کروں گی کہ cycle نہ بنے
 سب سے پہلے light weight والے کا لہجہ ہے tree میں لگایا جائے گا

Kruskal's algorithm works by adding edges in increasing order of weight (lightest edge first). If the next edge does not induce a cycle among the current set of edges, then it is added to A. If it does, we skip it and consider the next in order. As the algorithm runs, the edges in A induce a forest on the vertices. The trees of this forest are eventually merged until a single tree forms containing all vertices.

The tricky part of the algorithm is how to detect whether the addition of an edge will create a cycle in A. Suppose the edge being considered has vertices (u, v). We want a fast test that tells us whether u and v are in the same tree of A. This can be done using the Union-Find data structure which supports the following $O(\log n)$ operations:

- Create-set(u):** Create a set containing a single item u. *Union*
- Find-set(u):** Find the set that contains u. *اس edge کو ختم کر دے گا اس کو وہ لگا ہی نہیں*
- Union(u,v):** merge the set containing u and set containing v into a common set. *اگر کسی cycle میں آئے تو اسے*

In Kruskal's algorithm, the vertices will be stored in sets. The vertices in each tree of A will be a set. The edges in A can be stored as a simple list. Here is the algorithm: Figures 8.51 through ?? demonstrate the algorithm applied to a graph.

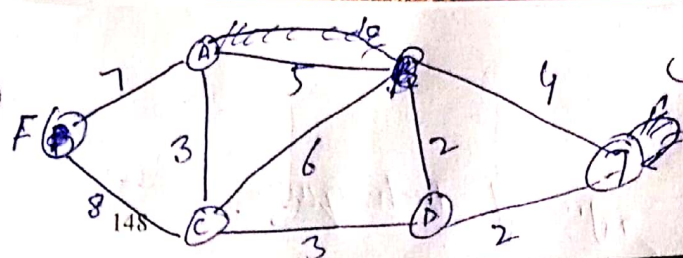
```

KRUSKAL(G = (V, E))
1 A ← {}
2 for (each u ∈ V)
3 do create_set(u)
4 sort E in increasing order by weight w
5 for (each (u, v) in sorted edge list)
6 do if (find(u) ≠ find(v))
7 then add (u, v) to A
8 union(u, v)
9 return A
    
```

جس vertices کی ایک ٹانگ ایک side
 دوسری دوسری ٹانگ دوسری side
 اگر وہ cross free نہ ہیں

wood!
Co. SB
2020
 Graphs
 2020

vertices
⑥



① سب سے پہلے جو ارفا ہو دیا جائے اس میں سے parallel loop کو بھی ختم کریں

④

- BD = 2
- DE = 2
- AC = 3
- CD = 3
- BE = 4x
- AB = 5x
- BC = 6x
- AF = 7
- FC = 8x

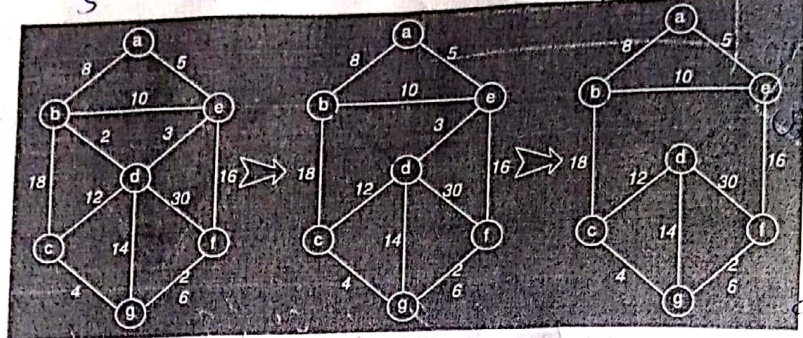


Figure 8.51: Kruskal algorithm: (b, d) and (d, e) added

② آپ وہ loop ختم کریں جس کا وزن زیادہ ہوگا
③ del loop and parallel edges.

اس کے بعد ہم نے اس میں سب سے ٹھوڑا وزن والا choose کریں اس طرح سے

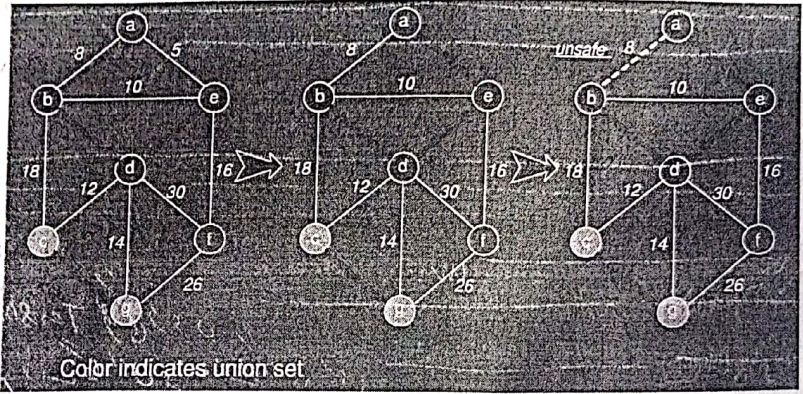


Figure 8.52: Kruskal algorithm: (c, g) and (a, e) added

This graph is also

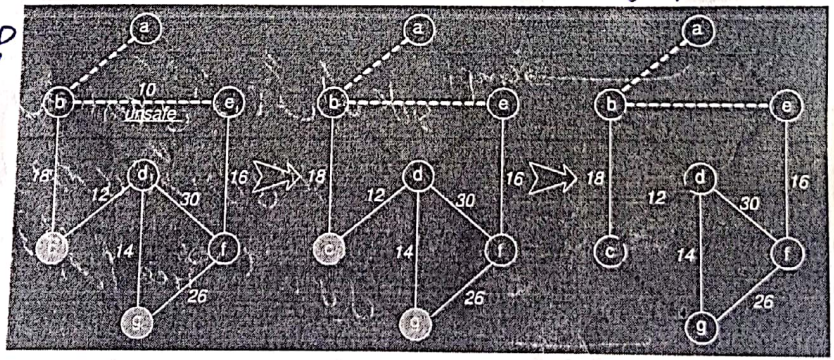
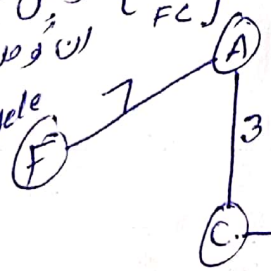


Figure 8.53: Kruskal algorithm: unsafe edges

minimum Spanning Tree.

جیسا آپ نے ان کو دوبارہ ترتیب دیا ہے تو دیکھیں کہ کتنا ہے کہ cycle نہ بنیں

- ان کو ہم نہیں
- حالات میں گنیں کیونکہ
- ان کو طرز سے
- cycle بن جائے



leave it (آپ اس کو الگ (dotted) line سے ہی connect کریں گے کیونکہ اگر solid line contact کریں گے تو cycle بن جائے گا)

Same jo b vertices se MST
 banane hai, n-1 edges

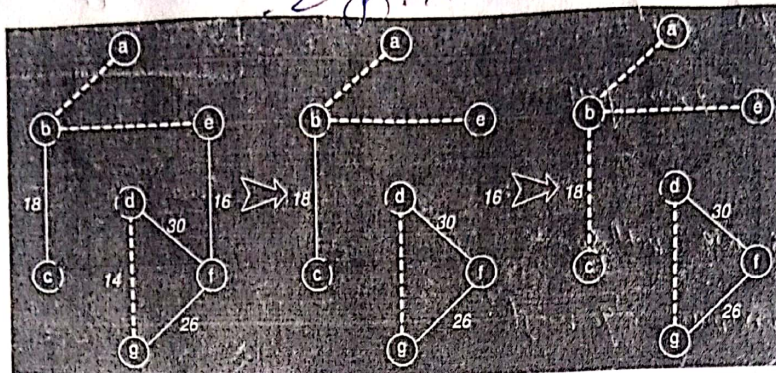


Figure 8.54: Kruskal algorithm: (e, f) added

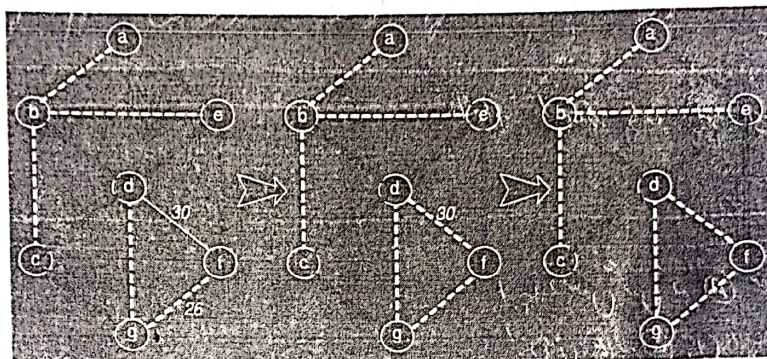


Figure 8.55: Kruskal algorithm: more unsafe edges and final MST

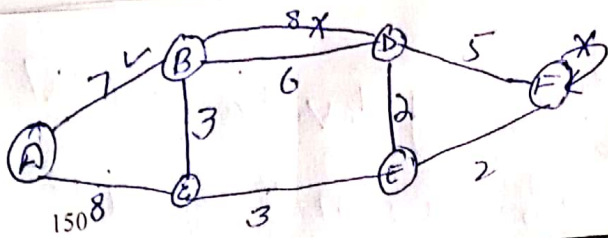
Analysis:

Since the graph is connected, we may assume that $E \geq V - 1$. Sorting edges (line 4) takes $\Theta(E \log E)$. The for loop (line 5) performs $O(E)$ find and $O(V)$ union operations. Total time for union - find is $O(E\alpha(V))$ where $\alpha(V)$ is the inverse Ackerman function. $\alpha(V) < 4$ for V less than the number of atoms in the entire universe. Thus the time is dominated by sorting. Overall time for Kruskal is $\Theta(E \log E) = \Theta(E \log V)$ if the graph is sparse.

Lect 37
8.5.4 Prim's Algorithm

Kruskal's algorithm worked by ordering the edges, and inserting them one by one into the spanning tree, taking care never to introduce a cycle. Intuitively Kruskal's works by merging or splicing two trees together, until all the vertices are in the same tree.

In contrast, Prim's algorithm builds the MST by adding leaves one at a time to the current tree. We start with a root vertex r ; it can be any vertex. At any time, the subset of edges A forms a single tree (in Kruskal's, it formed a forest). We look to add a single vertex as a leaf to the tree.



vertices = 6

CHAPTER 8. GRAPHS
 (—) edges
 (•) vertex

Remove loops and parallel edges from your graph.

By choosing we will sense that have maximum edge weight

Choose any ~~vertex~~ as head. Note.

Suppose choose (A) as head Note

Consider the set of vertices S currently part of the tree and its complement $(V - S)$ as shown in Figure 8.56. We have cut of the graph. Which edge should be added next? The greedy strategy would be to add the lightest edge which in the figure is edge to 'u'. Once u is added, Some edges that crossed the cut are no longer crossing it and others that were not crossing the cut are as shown in Figure 8.57

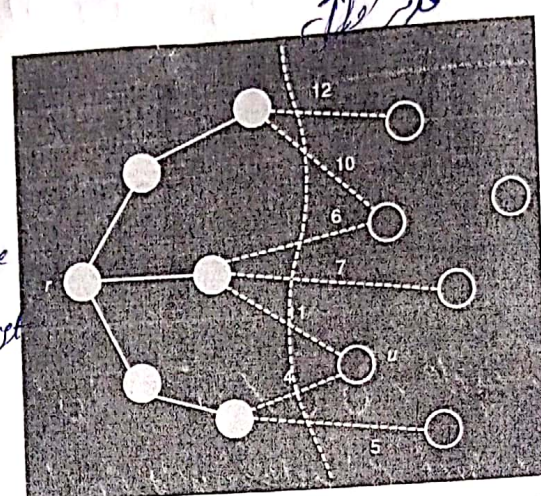
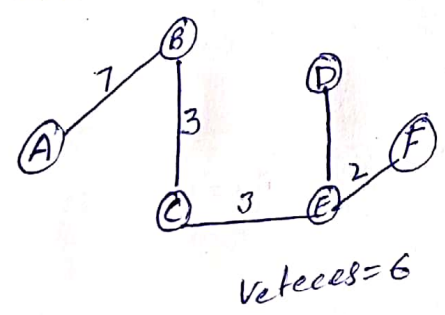


Figure 8.56: Prim's algorithm: a cut of the graph

$G(V, E) \rightarrow$ given after Prim's algo G' is (V', E')

$V' = V \Rightarrow V = 6$
 $E' \subset E$
 $E' = 5 - 1$
 $E' = 6 - 1$
 $E' = 5$

آپ دیکھیں جس کہ (A) سے ملنے والے Neck میں اس میں (B) اور (C) ہیں آپ ان میں سے Minimum select



vertices = 6

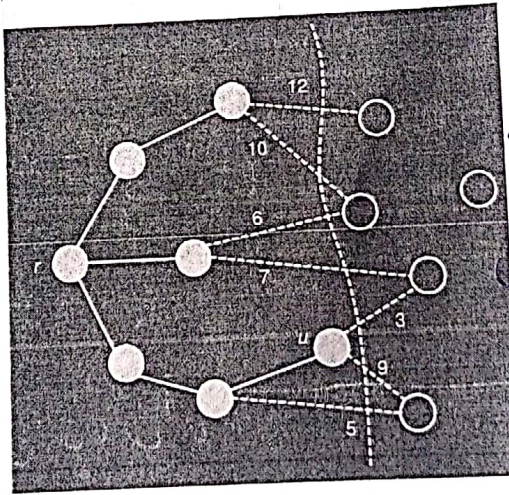


Figure 8.57: Prim's algorithm: u selected

جب آپ (B) دیکھیں گے تو آپ دیکھیں گے کہ (B) سے ملنے والے Neck میں (C) اور (E) ہیں آپ ان میں سے Minimum select کریں گے۔ اس سے پہلے وہ Neck کو نہیں دیکھیں گے ان سب میں جو سب سے پہلے ہوا اس کو attach کرنا چاہیں گے

We need an efficient way to update the cut and determine the light edge quickly. To do this, we will make use of a priority queue. The question is what do we store in the priority queue? It may seem logical that edges that cross the cut should be stored since we choose light edges from these. Although possible, there is more elegant solution which leads to a simpler algorithm.

جب آپ کے پاس 2 ویلے Some آجائیں تو آپ کوئی بھی Choose کر سکتے ہیں

Similar scenarios occur in computer networks like the Internet where data packets have to be routed. The vertices are routers. Edges are communication links which may be wire or wireless. Edge weights can be distance, link speed, link capacity link delays, and link utilization.

The breadth-first-search ^{BFS} algorithm we discussed earlier is a shortest-path algorithm that works on un-weighted graphs. An un-weighted graph can be considered as a graph in which every edge has weight one unit.

There are a few variants of the shortest path problem. We will cover their definitions and then discuss algorithms for some.

Single-source shortest-path problem: Find shortest paths from a given (single) *source* vertex $s \in V$ every other vertex $v \in V$ in the graph G .

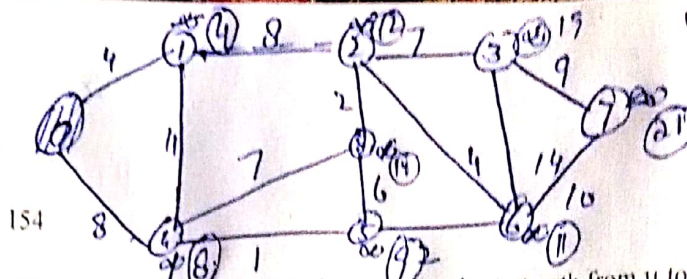
Single-destination shortest-paths problem: Find a shortest path to a given destination vertex t from each vertex v . We can reduce this problem to a single-source problem by reversing the direction of each edge in the graph.

Single-pair shortest-path problem: Find a shortest path from u to v for given vertices u and v . If we solve the single-source problem with source vertex u , we solve this problem also. No algorithms for this problem are known to run asymptotically faster than the best single-source algorithms in the worst case.

14000 → 8000

3500

Let



Single Source Shortest Problem.
 CHAPTER 8. GRAPH

All-pairs shortest-paths problem: Find a shortest path from u to v for every pair of vertices u and v . Although this problem can be solved by running a single-source algorithm once from each vertex it can usually be solved faster.

① From one source you are supposed to find the shortest source.

8.6.1 Dijkstra's Algorithm

Dijkstra's algorithm is a simple greedy algorithm for computing the single-source shortest-paths to all other vertices. Dijkstra's algorithm works on a weighted directed graph $G = (V, E)$ in which all edge weights are non-negative, i.e., $w(u, v) \geq 0$ for each edge $(u, v) \in E$.

Negative edges weights maybe counter to intuition but this can occur in real life problems. However, we will not allow negative cycles because then there is no shortest path. If there is a negative cycle between say, s and t , then we can always find a shorter path by going around the cycle one more time.

Working principles

- ① 0 is source vertex.
- ② 0 to 0 distance is zero.
- ③ We give the name from zero to other vertices as infinity ∞ .
- ④ 0 is connected with 1 and 4, now we will find distance 0 to 1 then we give name zero as u and 1 as $v \Rightarrow d(u) + c(u, v) < d(v)$

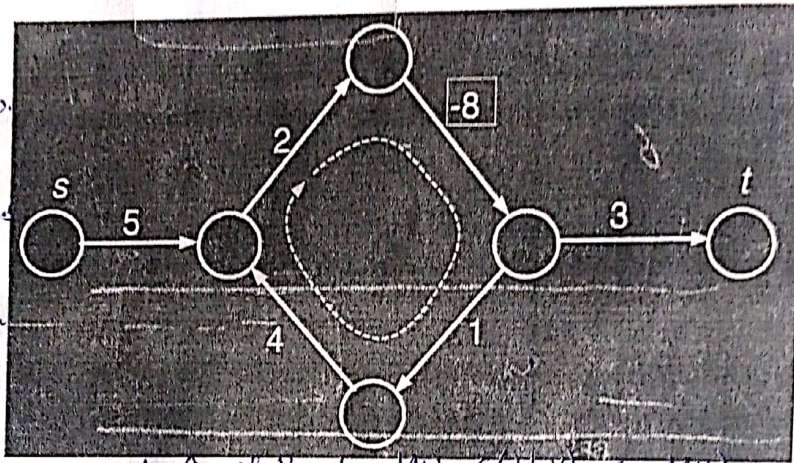


Figure 8.61 Negative weight cycle

⑤ after finding the value from 0-1 and 0-4 then we see the shortest value in whole graph. The basic structure of Dijkstra's algorithm is to maintain an estimate of the shortest path from the source vertex to each vertex in the graph. Call this estimate $d[v]$. Intuitively, $d[v]$ will be the length of the shortest path that the algorithm knows of from s to v . This value will always be greater than or equal to the true shortest path distance from s to v . I.e., $d[v] \geq \delta(s, v)$. Initially, we know of no paths, so $d[v] = \infty$. Moreover, $d[s] = 0$ for the source vertex.

⑥ Now 0 as source. As the algorithm goes on and sees more and more vertices, it attempts to update $d[v]$ for each vertex in the graph. The process of updating estimates is called relaxation. Here is how relaxation works.

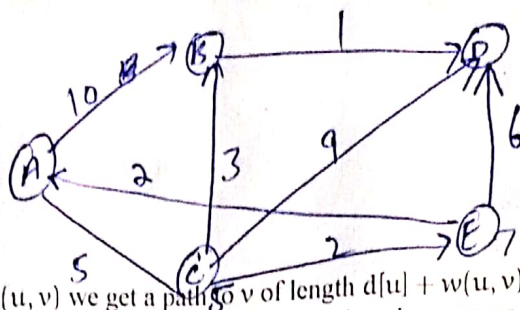
Intuitively, if you can see that your solution is not yet reached an optimum value, then push it a little closer to the optimum. In particular, if you discover a path from s to v shorter than $d[v]$, then you need to update $d[v]$. This notion is common to many optimization algorithms.

Consider an edge from a vertex u to v whose weight is $w(u, v)$. Suppose that we have already computed current estimates on $d[u]$ and $d[v]$. We know that there is a path from s to u of weight $d[u]$. By taking

$$\left\{ \begin{array}{l} d(u) + c(u, v) < d(v) \\ d(v) = d(u) + c(u, v) \end{array} \right\}$$

Formula

A is source vertex.



8.6. SHORTEST PATHS

this path and following it with the edge (u, v) we get a path to v of length $d[u] + w(u, v)$. If this path is better than the existing path of length $d[v]$ to v , we should take it. The relaxation process is illustrated in the following figure. We should also remember that the shortest way back to the source is through u by updating the predecessor pointer.

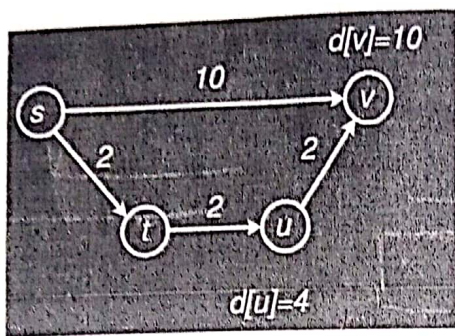


Figure 8.62: Vertex u relaxed

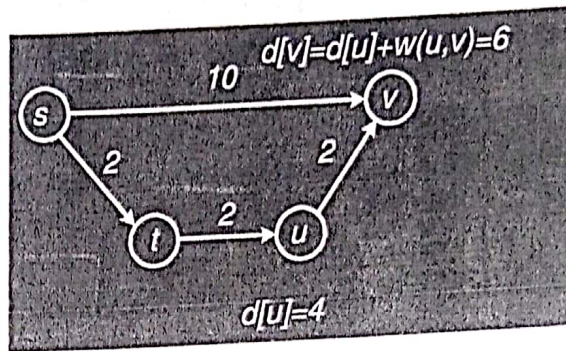


Figure 8.63: Vertex v relaxed

```
RELAX((u, v))
1 if (d[u] + w(u, v) < d[v])
2   then d[v] ← d[u] + w(u, v)
3   pred[v] = u
```

Observe that whenever we set $d[v]$ to a finite value, there is always evidence of a path of that length. Therefore $d[v] \geq \delta(s, v)$. If $d[v] = \delta(s, v)$, then further relaxations cannot change its value.

It is not hard to see that if we perform $RELAX(u, v)$ repeatedly over all edges of the graph, the $d[v]$ values will eventually converge to the final true distance value from s . The cleverness of any shortest path algorithm is to perform the updates in a judicious manner, so the convergence is as fast as possible.

Dijkstra's algorithm is based on the notion of performing repeated relaxations. The algorithm operates by maintaining a subset of vertices, $S \subseteq V$, for which we claim we know the true distance, $d[v] = \delta(s, v)$.

Initially $S = \emptyset$, the empty set. We set $d[u] = 0$ and all others to ∞ . One by one we select vertices from $V - S$ to add to S .

Source vertex
distance to vertex

How do we select which vertex among the vertices of $V - S$ to add next to S ? Here is greediness comes in. For each vertex $u \in (V - S)$, we have computed a distance estimate $d[u]$.

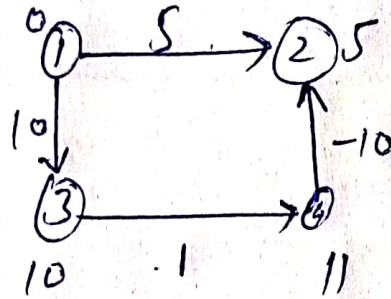
The greedy thing to do is to take the vertex for which $d[u]$ is minimum, i.e., take the unprocessed vertex that is closest by our estimate to s . Later, we justify why this is the proper choice. In order to perform

	A	B	C	D	E
A	0	∞	∞	∞	∞ → minimum
C		10	5	∞	∞
E		8		14	7
B		8		13	
D				9	

distance $d(u) + c(u, v) < d(v)$
 $0 + 10 < \infty$
 The shortest distance between is $(A-B) = 8$
 $(A-C) = 5$
 $(A-D) = 9$
 $(A-E) = 7$

Path
 A-D
 D-B-C-A
 A-C-B-D
 $= 5 + 3 + 1 = 9$

Figures 8.64 through ?? demonstrate the algorithm applied to a directed graph with no negative weight edges.



According to Dijkstra's algorithm we cannot change 2 because we already solved.

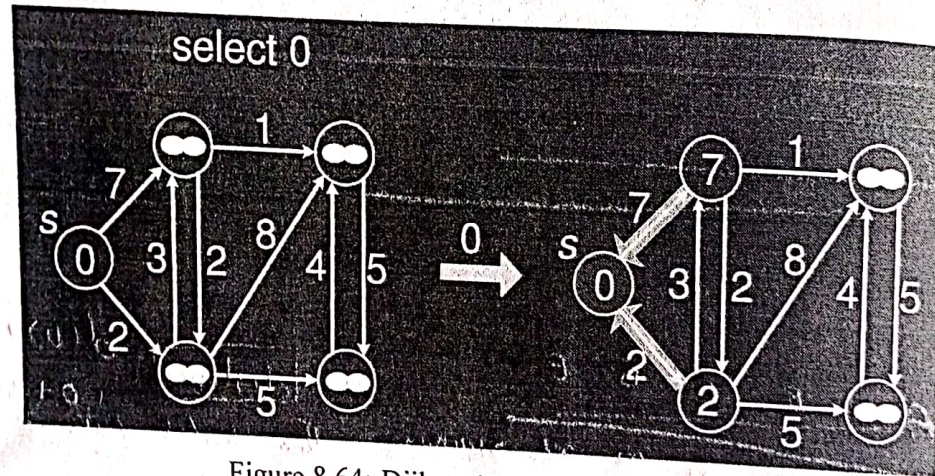


Figure 8.64: Dijkstra's algorithm: select 0

→ It may or may not work with when side is negative

→ also it will not work if graph is many cycle is -ve.

8.6.3 Bellman-Ford Algorithm *single source shortest path*

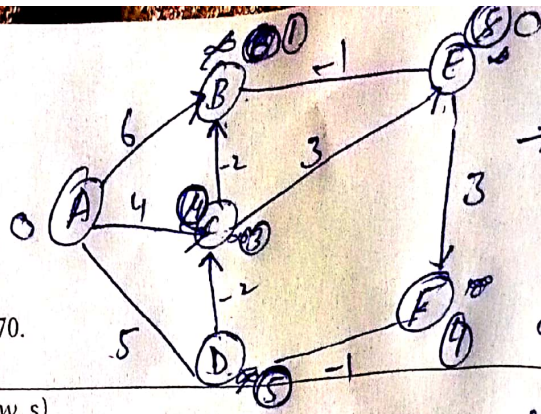
Dijkstra's single-source shortest path algorithm works if all edges weights are non-negative and there are no negative cost cycles. Bellman-Ford allows negative weights edges and no negative cost cycles. The algorithm is slower than Dijkstra's, running in $\Theta(VE)$ time.

Like Dijkstra's algorithm, Bellman-Ford is based on performing repeated relaxations. Bellman-Ford applies relaxation to every edge of the graph and repeats this $V - 1$ times. Here is the algorithm; its is

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illustrated in Figure 8.70.



→ directed graph.
CHAPTER 8. GRAPH

```

BELLMAN-FORD(G, w, s)
1 for (each u ∈ V)
2 do d[u] ← ∞
3   pred[u] = nil
4
5 d[s] ← 0;
6 for i = 1 to V - 1
7 do for (each (u, v) in E)
8   do RELAX(u, v)
    
```

no of vertices ⇒ 6

all the edges (n-1) Times:
n = number of vertices

$$d(u) + c(u, v) < d(v)$$

$$d(v) = d(u) + c(u, v)$$

A as source vertex.

edges:

- (A, B), (A, C), (A, D), (B, E)
- (C, E), (C, D), (D, E), (E, F)
- (C, D)

1st iteration ✓
2nd iteration.

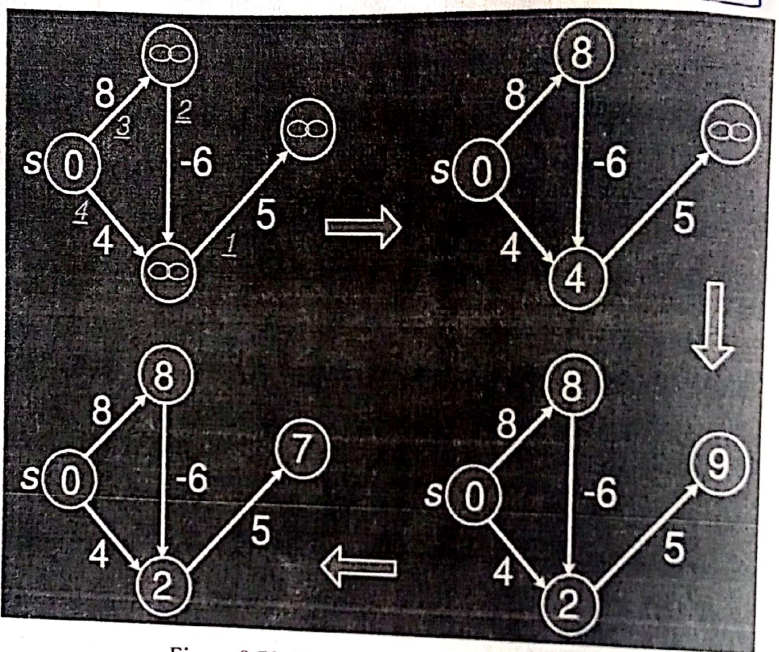


Figure 8.70: The Bellman-Ford algorithm

Iteration 3 (iteration) Total (Vertices) 6, 6 iterations, 5 calculations. مطلب 5 iteration.

Lect # 4/1

8.6.4 Correctness of Bellman-Ford

Think of Bellman-Ford as a sort of bubble-sort analog for shortest path. The shortest path information is propagated sequentially along each shortest path in the graph. Consider any shortest path from s to some other vertex u: $\langle v_0, v_1, \dots, v_k \rangle$ where $v_0 = s$ and $v_k = u$.

Since a shortest path will never visit the same vertex twice, we know that $k \leq V - 1$. Hence the path consists of at most $V - 1$ edges. Since this a shortest path, it is $\delta(s, v_i)$, the true shortest path cost from s to v_i .

(iteration) میں آئے ہیں وہی پرانا ٹریفک لگانا ہے اور فارم دیا لگانا ہے۔
 جب فارم دیا لگانا ہے تو اس کو بار بار iteration سے change کرنا ہے۔
 جب (iteration) ختم ہو جائے تو۔

Vertices
 A = 0
 B = 1
 C = 3
 D = 5
 E = 6
 F = 3

SHORTEST PATHS

to v_i that satisfies the equation:

$$O(E(V-1))$$

$O(E \cdot V)$ Time complexity

$$O(n^2)$$

$$\delta(s, v_i) = \delta(s, v_{i-1}) + w(v_{i-1}, v_i)$$

$$\text{Time complexity} = \frac{V(V-1)}{2} \times (V-1)$$

Claim: We assert that after the i^{th} pass of the "for-i" loop, $d[v_i] = \delta(s, v_i)$

Proof: The proof is by induction on i . Observe that after the initialization (pass 0), $d[v_i] = d[s] = 0$.

In general, prior to the i^{th} pass through the loop, the induction hypothesis tells us that $d[v_{i-1}] = \delta(s, v_{i-1})$. After the i^{th} pass, we have done relaxation on the edge (v_{i-1}, v_i) (since we do relaxation along all edges). Thus after the i^{th} pass we have

(It will not work if
 it have -ve weight cycle
 whose edge sum is -ve)

$$d[v_i] \leq d[v_{i-1}] + w(v_{i-1}, v_i)$$

$$= \delta(s, v_{i-1}) + w(v_{i-1}, v_i)$$

$$= \delta(s, v_i)$$

Not vertex?
 No. of iteration

Recall from Dijkstra's algorithm that $d[v_i]$ is never less than $\delta(s, v_i)$. Thus, $d[v_i]$ is in fact equal to $\delta(s, v_i)$. This completes the induction proof.

In summary, after i passes through the for loop, all vertices that are i edges away along the shortest path tree from the source have the correct values stored in $d[u]$. Thus, after the $(V-1)^{\text{st}}$ iteration of the for loop, all vertices u have correct distance values stored in $d[u]$.

8.6.5 Floyd-Warshall Algorithm *dynamic programming*

We consider the generalization of the shortest path problem: to compute the shortest paths between all pairs of vertices. This is called the all-pairs shortest paths problem.

Let $G = (V, E)$ be a directed graph with edge weights. If $(u, v) \in E$ is an edge then $w(u, v)$ denotes its weight. $\delta(u, v)$ is the distance of the minimum cost path between u and v . We will allow G to have negative edges weights but will not allow G to have negative cost cycles. We will present an $\Theta(n^3)$ algorithm for the all pairs shortest path. The algorithm is called the *Floyd-Warshall algorithm* and is based on *dynamic programming*.

We will use an adjacency matrix to represent the digraph. Because the algorithm is matrix based, we will employ the common matrix notation, using i, j and k to denote vertices rather than u, v and w .

The input is an $n \times n$ matrix of edge weights:

$$w_{ij} = \begin{cases} 0 & \text{if } i = j \\ w(i, j) & \text{if } i \neq j \text{ and } (i, j) \in E \\ \infty & \text{if } i \neq j \text{ and } (i, j) \notin E \end{cases}$$

The output will be an $n \times n$ distance matrix $D = d_{ij}$, where $d_{ij} = \delta(i, j)$, the shortest path cost from vertex i to j .

حلقے (vertices) کی گیس اٹنے سے (A^0) کی value نکالنے کی

8.6. SHORTEST PATHS

Lect # 42

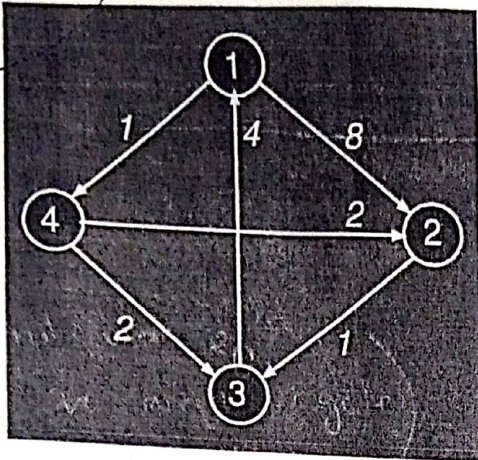


Figure 8.72: $k = 0, d_{3,2}^{(0)} = \infty$ (no path)

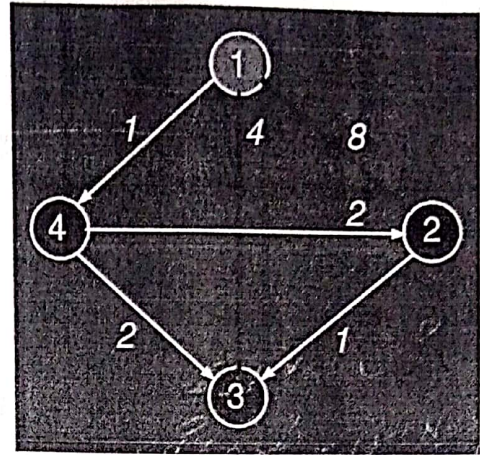


Figure 8.73: $k = 1, d_{3,2}^{(1)} = 12$ ($3 \rightarrow 1 \rightarrow 2$)

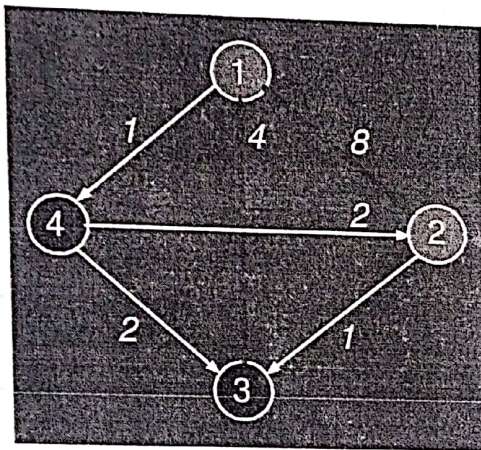


Figure 8.74: $k = 2, d_{3,2}^{(2)} = 12$ ($3 \rightarrow 1 \rightarrow 2$)

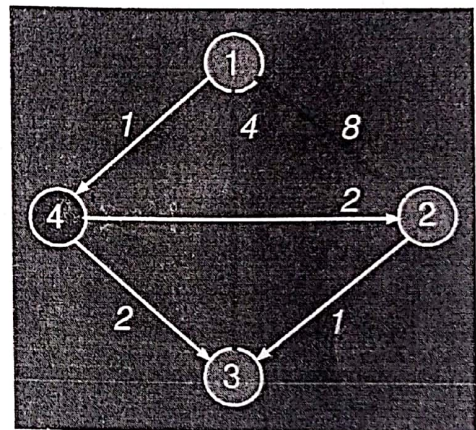
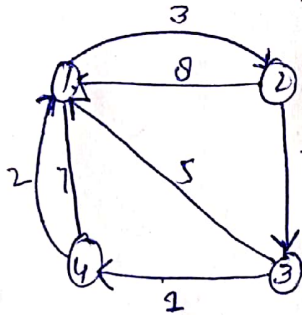


Figure 8.75: $k = 3, d_{3,2}^{(3)} = 12$ ($3 \rightarrow 1 \rightarrow 2$)



اس گیس میں (vertices) میں تو A^0 نکالیں
 A^0 نکالنے وقت پہلی Row اور پہلے column ہٹائیں، اسے A^0 کی جگہ سے
 A^1 کی باقی دیکھو A^0 سے A^1 کی دیکھو A^0 سے Backward Technique

$$A^0 = \begin{array}{c|cccc} & 1 & 2 & 3 & 4 \\ \hline 1 & 0 & 3 & \infty & 7 \\ 2 & 8 & 0 & 2 & \infty \\ 3 & 5 & \infty & 0 & 1 \\ 4 & 2 & \infty & \infty & 0 \end{array}$$

$$\Rightarrow A^1 = \begin{array}{c|cccc} & 1 & 2 & 3 & 4 \\ \hline 1 & 0 & 3 & \infty & 7 \\ 2 & 8 & 0 & 2 & 15 \\ 3 & 5 & 8 & 0 & 1 \\ 4 & 2 & 5 & \infty & 0 \end{array}$$

$$A^0[2,4] = A^0[2,1] + 1,4$$

$$\infty = 8 + 7$$

$$\infty \neq 15$$

$$A^0[3,2] = A^0[3,1] + 1,2$$

$$\infty = 5 +$$

آئیو $A^1(2-3)$ میں سے فائنل ہے تو آپ A^0 سے
 حاصل کریں
 $A^0[2,3] = A^0[2,1] + 1,3$
 $\infty = 8 + 5$

Chapter 9

Complexity Theory

BY:-

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So far in the course, we have been building up a "bag of tricks" for solving algorithmic problems. Hopefully you have a better idea of how to go about solving such problems. What sort of design paradigm should be used: divide-and-conquer, greedy, dynamic programming.

What sort of data structures might be relevant: trees, heaps, graphs. What is the running time of the algorithm. All of this is fine if it helps you discover an acceptably efficient algorithm to solve your problem.

The question that often arises in practice is that you have tried every trick in the book and nothing seems to work. Although your algorithm can solve small problems reasonably efficiently (e.g., $n \leq 20$), for the really large problems you want to solve, your algorithm never terminates. When you analyze its running time, you realize that it is running in exponential time, perhaps $n^{\sqrt{n}}$, or 2^n , or 2^{2^n} , or $n!$ or worse!

By the end of 60's, there was great success in finding efficient solutions to many combinatorial problems. But there was also a growing list of problems for which there seemed to be no known efficient algorithmic solutions.

People began to wonder whether there was some unknown paradigm that would lead to a solution to these problems. Or perhaps some proof that these problems are inherently hard to solve and no algorithmic solutions exist that run under exponential time.

Near the end of the 1960's, a remarkable discovery was made. Many of these hard problems were interrelated in the sense that if you could solve any one of them in polynomial time, then you could solve all of them in polynomial time. this discovery gave rise to the notion of NP-completeness.

This area is a radical departure from what we have been doing because the emphasis will change. The goal is no longer to prove that a problem can be solved efficiently by presenting an algorithm for it. Instead we will be trying to show that a problem cannot be solved efficiently.

Up until now all algorithms we have seen had the property that their worst-case running time are bounded above by some polynomial in n . A polynomial time algorithm is any algorithm that runs in $O(n^k)$ time. A problem is solvable in polynomial time if there is a polynomial time algorithm for it.

Some functions that do not look like polynomials (such as $O(n \log n)$) are bounded above by polynomials (such as $O(n^2)$). Some functions that do look like polynomials are not. For example, suppose you have

an algorithm that takes as input a graph of size n and an integer k and run in $O(n^k)$ time.

Is this a polynomial time algorithm? No, because k is an input to the problem so the user is allowed to choose $k = n$, implying that the running time would be $O(n^n)$. $O(n^n)$ is surely not a polynomial in n . The important aspect is that the exponent must be a constant independent of n .

Not yes output
 9.1 Decision Problems

Most of the problems we have discussed involve optimization of one form or another. Find the shortest path, find the minimum cost spanning tree, maximize the knapsack value. For rather technical reasons, the NP-complete problems we will discuss will be phrased as *decision problems*.

A problem is called a *decision problem* if its output is a simple "yes" or "no" (or you may think of this as true/false, 0/1, accept/reject.) We will phrase many optimization problems as decision problems. For example, the MST decision problem would be: Given a weighted graph G and an integer k , does G have a spanning tree whose weight is at most k ?

This may seem like a less interesting formulation of the problem. It does not ask for the weight of the minimum spanning tree, and it does not even ask for the edges of the spanning tree that achieves this weight. However, our job will be to show that certain problems cannot be solved efficiently. If we show that the simple decision problem cannot be solved efficiently, then the more general optimization problem certainly cannot be solved efficiently either.

9.2 Complexity Classes

Before giving all the technical definitions, let us say a bit about what the general classes look like at an intuitive level.

Solved **Class P:** This is the set of all decision problems that can be solved in polynomial time. We will generally refer to these problems as being "easy" or "efficiently solvable".

Verified **Class NP:** This is the set of all decision problems that can be verified in polynomial time. This class contains P as a subset. It also contains a number of problems that are believed to be very "hard" to solve.

Class NP: The term "NP" does not mean "not polynomial". Originally, the term meant "non-deterministic polynomial" but it is a bit more intuitive to explain the concept from the perspective of verification.

Class NP-hard: In spite of its name, to say that a problem is NP-hard does not mean that it is hard to solve. Rather, it means that if we could solve this problem in polynomial time, then we could solve all NP problems in polynomial time. Note that for a problem to be NP-hard, it does not have to be in the class NP.

NP Hard
 Polynomial
 NP Problem
 Hard

Class NP-complete: A problem is NP-complete if (1) it is in NP and (2) it is NP-hard.

The Figure 9.1 illustrates one way that the sets P, NP, NP-hard, and NP-complete (NPC) might look. We say might because we do not know whether all of these complexity classes are distinct or whether they are all solvable in polynomial time. The Graph Isomorphism, which asks whether two graphs are identical up to a renaming of their vertices. It is known that this problem is in NP, but it is not known to be in P. The other is QBF, which stands for Quantified Boolean Formulas. In this problem you are given a boolean formula and you want to know whether the formula is true or false.

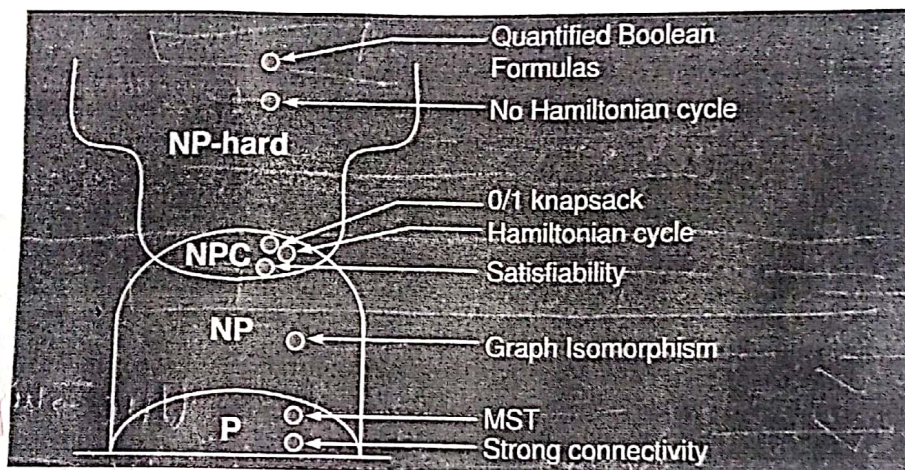


Figure 9.1: Complexity Classes

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9.3 Polynomial Time Verification

Before talking about the class of NP-complete problems, it is important to introduce the notion of a verification algorithm. Many problems are hard to solve but they have the property that it is easy to verify the solution if one is provided. Consider the Hamiltonian cycle problem.

Given an undirected graph G , does G have a cycle that visits every vertex exactly once? There is no known polynomial time algorithm for this problem.

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certifica
ty

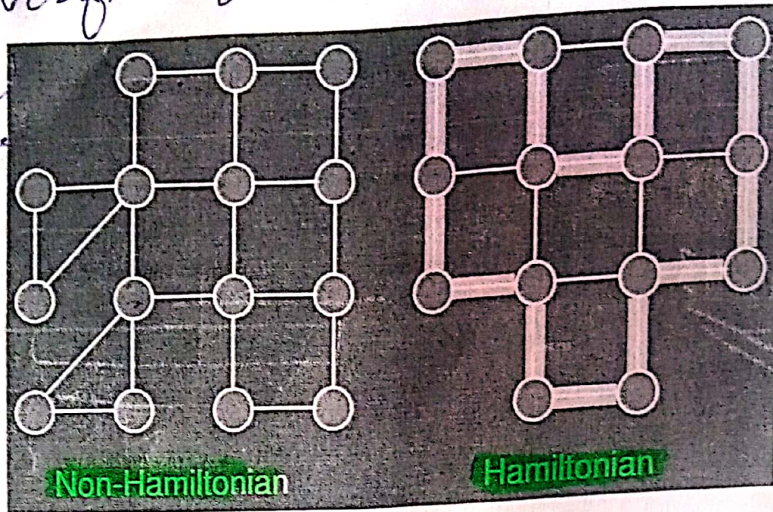


Figure 9.2: Hamiltonian Cycle

However, suppose that a graph did have a Hamiltonian cycle. It would be easy for someone to convince of this. They would simply say: "the cycle is $\langle v_3, v_7, v_1, \dots, v_{13} \rangle$ ". We could then inspect the graph and check that this is indeed a legal cycle and that it visits all of the vertices of the graph exactly once. Thus, even though we know of no efficient way to solve the Hamiltonian cycle problem, there is a very efficient way to verify that a given cycle is indeed a Hamiltonian cycle.

The piece of information that allows verification is called a certificate. Note that not all problems have the property that they are easy to verify. For example, consider the following two:

1. $\text{UHC} = \{ \langle G \rangle \mid G \text{ has a unique Hamiltonian cycle} \}$
2. $\text{HC} = \{ \langle G \rangle \mid G \text{ has no Hamiltonian cycle} \}$

UHC \rightarrow unique Hamiltonian cycle
HC \rightarrow

Suppose that a graph G is in UHC . What information would someone give us that would allow us to verify this? They could give us an example of the unique Hamiltonian cycle and we could verify that it is a Hamiltonian cycle. But what sort of certificate could they give us to convince us that this is the *only* one?

They could give another cycle that is *not* Hamiltonian. But this does not mean that there is not another cycle somewhere that is Hamiltonian. They could try to list every other cycle of length n , but this is not efficient at all since there are $n!$ possible cycles in general. Thus it is hard to imagine that someone could give us some information that would allow us to efficiently verify that the graph is in UHC .

9.4 The Class NP

The class NP is a set of all problems that can be verified by a polynomial time algorithm. Why is the set called "NP" and not "VP"? The original term NP stood for non-deterministic polynomial time. This

9.5. REDUCTIONS

referred to a program running on a non-deterministic computer that can make guesses. Such a computer could non-deterministically guess the value of the certificate, and then verify it in polynomial time. We have avoided introducing non-determinism here; it is covered in other courses such as automata or complexity theory.

Observe that $P \subseteq NP$. In other words, if we can solve a problem in polynomial time, we can certainly verify the solution in polynomial time. More formally, we do not need to see a certificate to solve the problem; we can solve it in polynomial time anyway.

However, it is not known whether $P = NP$. It seems unreasonable to think that this should be so. Being able to verify that you have a correct solution does not help you in finding the actual solution. The belief is that $P \neq NP$ but no one has a proof for this.

9.5 Reductions

$P \subseteq NP$

$NP \rightarrow$ Non-deterministic

Polynomial complete

The class NP-complete (NPC) problems consists of a set of decision problems (a subset of class NP) that no one knows how to solve efficiently. But if there were a polynomial solution for even a single NP-complete problem, then every problem in NPC will be solvable in polynomial time. For this, we need the concept of reductions.

Consider the question: Suppose there are two problems, A and B. You know (or you strongly believe at least) that it is impossible to solve problem A in polynomial time. You want to prove that B cannot be solved in polynomial time. We want to show that

$$(A \notin P) \Rightarrow (B \notin P)$$

How would you do this? Consider an example to illustrate reduction: The following problem is well-known to be NPC:

3-color: Given a graph G, can each of its vertices be labelled with one of 3 different colors such that two adjacent vertices have the same label (color).

Coloring arises in various partitioning problems where there is a constraint that two objects cannot be assigned to the same set of partitions. The term "coloring" comes from the original application which was in map drawing. Two countries that share a common border should be colored with different colors.

It is well known that planar graphs can be colored (maps) with four colors. There exists a polynomial time algorithm for this. But determining whether this can be done with 3 colors is hard and there is no polynomial time algorithm for it. In Figure 9.3, the graph on the left can be colored with 3 colors while the graph on the right cannot be colored.

Definition: L is NP-complete if

1. $L \in \text{NP}$ and
2. $L' \leq_P L$ for some known NP-complete problem L' .

Given this formal definition, the complexity classes are:

P: is the set of decision problems that are solvable in polynomial time.

NP: is the set of decision problems that can be verified in polynomial time.

NP-Hard: L is NP-hard if for all $L' \in \text{NP}$, $L' \leq_P L$. Thus if we could solve L in polynomial time, we could solve all NP problems in polynomial time.

NP-Complete L is NP-complete if

1. $L \in \text{NP}$ and
2. L is NP-hard.

The importance of NP-complete problems should now be clear. If any NP-complete problem is solvable in polynomial time, then every NP-complete problem is also solvable in polynomial time. Conversely, if we can prove that any NP-complete problem cannot be solved in polynomial time, then every NP-complete problem cannot be solvable in polynomial time.

9.8 Boolean Satisfiability Problem: Cook's Theorem

We need to have at least one NP-complete problem to start the ball rolling. Stephen Cook showed that such a problem existed. He proved that the *boolean satisfiability problem* is NP-complete. A boolean formula is a logical formulation which consists of variables x_i . These variables appear in a logical expression using logical operations

1. negation of x : \bar{x}
2. boolean or: $(x \vee y)$
3. boolean and: $(x \wedge y)$

$\vee \rightarrow \text{OR}$
 $\wedge \rightarrow \text{AND}$

For a problem to be in NP, it must have an efficient verification procedure. Thus virtually all NP problems can be stated in the form, "does there exist X such that $P(X)$ ", where X is some structure (e.g. a set, a path, a partition, an assignment, etc.) and $P(X)$ is some property that X must satisfy (e.g. the set of objects must fill the knapsack, or the path must visit every vertex, or you may use at most k colors and no two adjacent vertices can have the same color). In showing that such a problem is in NP, the certificate consists of giving X , and the verification involves testing that $P(X)$ holds.

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In general, any set X can be described by choosing a set of objects, which in turn can be described as choosing the values of some boolean variables. Similarly, the property $P(X)$ that you need to satisfy, can be described as a boolean formula. Stephen Cook was looking for the most general possible property he could, since this should represent the hardest problem in NP to solve. He reasoned that computers (which represent the most general type of computational devices known) could be described entirely in terms of boolean circuits, and hence in terms of boolean formulas. If any problem were hard to solve, it would be one in which X is an assignment of boolean values (true/false, 0/1) and $P(X)$ could be any boolean formula. This suggests the following problem, called the *boolean satisfiability problem*.

SAT: Given a boolean formula, is there some way to assign truth values (0/1, true/false) to the variables of the formula, so that the formula evaluates to true?

A boolean formula is a logical formula which consists of variables x_i , and the logical operations \bar{x} meaning the *negation* of x , *boolean-or* ($x \vee y$) and *boolean-and* ($x \wedge y$). Given a boolean formula, we say that it is *satisfiable* if there is a way to assign truth values (0 or 1) to the variables such that the final result is 1. (As opposed to the case where no matter how you assign truth values the result is always 0.) For example

$$(x_1 \wedge (x_2 \vee \bar{x}_3)) \wedge ((\bar{x}_2 \wedge \bar{x}_3) \vee \bar{x}_1)$$

is satisfiable, by the assignment $x_1 = 1, x_2 = 0$ and $x_3 = 0$. On the other hand,

$$\bar{x}_1 \vee (x_2 \wedge x_3) \wedge (x_1 \vee (\bar{x}_2 \wedge \bar{x}_3)) \wedge (x_2 \vee x_3) \wedge (\bar{x}_2 \vee \bar{x}_3)$$

is not satisfiable. Such a boolean formula can be represented by a logical circuit made up of OR, AND and NOT gates. For example, Figure 9.9 shows the circuit for the boolean formula

$$((x_1 \wedge x_4) \vee x_2) \wedge ((x_3 \wedge \bar{x}_4) \vee \bar{x}_2) \wedge \bar{x}_5$$

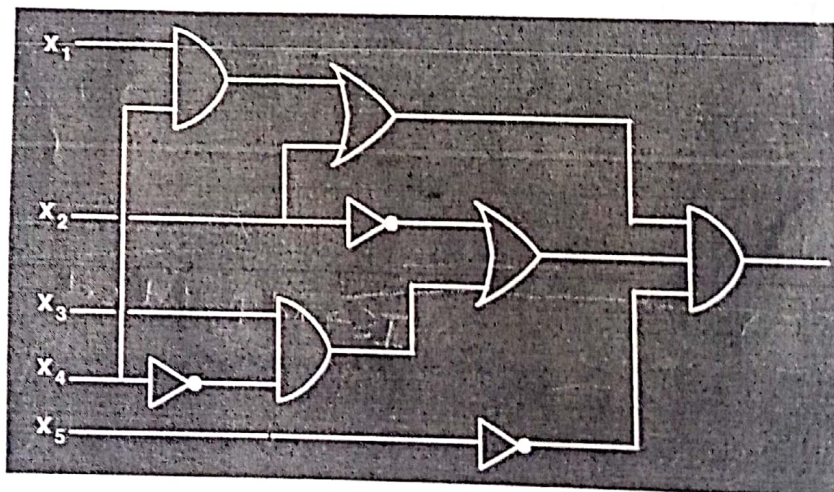


Figure 9.9: Logical circuit for a boolean formula

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 ch#1/6
 Read

To clarify this
 topic

3 CNF \rightarrow Form.
 \downarrow \rightarrow Normal
 Conjunctive

Boolean Satisfiability

Problem is NP complete.

Cook's Theorem: SAT is NP-complete.

We will not prove the theorem; it is quite complicated. In fact, it turns out that a even more restricted version of the satisfiability problem is NP-complete.

A *literal* is a variable x or its negation \bar{x} . A boolean formula is in *3-Conjunctive Normal Form (3-CNF)* if it is the boolean-and of clauses where each clause is the boolean-or of exactly three literals. For example,

$$(x_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee x_3 \vee x_4) \wedge (x_2 \vee \bar{x}_3 \vee \bar{x}_4)$$

is in 3-CNF form. 3SAT is the problem of determining whether a formula is 3-CNF is satisfiable. 3SAT is NP-complete. We can use this fact to prove that other problems are NP-complete. We will do this with the *independent set problem*.

Independent Set Problem Given an undirected graph $G = (V, E)$ and an integer k , does G contain a subset V' of k vertices such that no two vertices in V' are adjacent to each other.

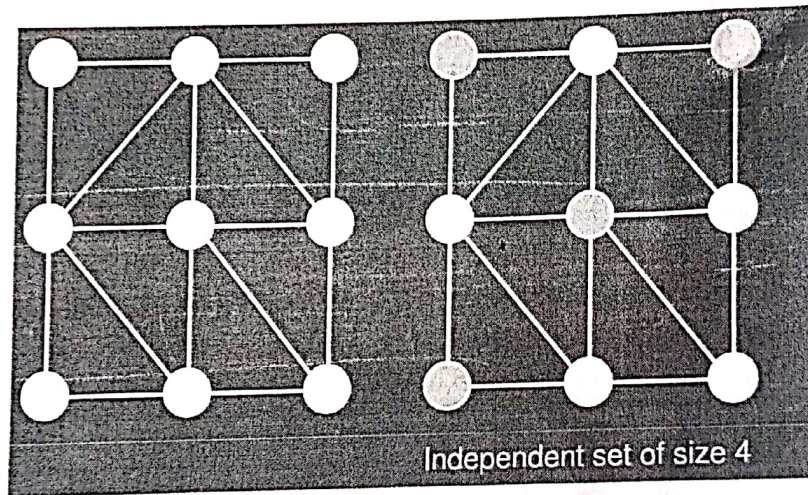


Figure 9.10:

The independent set problem arises when there is some sort of selection problem where there are mutual restrictions pairs that cannot both be selected. For example, a company dinner where an employee and his or her immediate supervisor cannot both be invited.

Claim: IS is NP-complete

IS \rightarrow independent set.

The proof involves two parts. First, we need to show that $IS \in NP$. The certificate consists of k vertices of V' . We simply verify that for each pair of vertices $u, v \in V'$, there is no edge between them. Clearly, this can be done in polynomial time, by an inspection of the adjacency matrix.

Second, we need to establish that IS is NP-hard. This can be done by showing that some known NP-complete (3SAT) is polynomial-time reducible to IS. That is, $3SAT \leq_P IS$.

An important aspect to reductions is that we do not attempt to solve the satisfiability problem. Remember: It is NP-complete, and there is not likely to be any polynomial time solution. The idea is to translate the similar elements of the satisfiable problem to corresponding elements of the independent set problem.

What is to be selected?

3SAT: Which variables are to be assigned the value true, or equivalently, which literals will be true and which will be false.

IS: Which vertices will be placed in V' .

Requirements:

3SAT: Each clause must contain at least one true valued literal.

IS: V' must contain at least k vertices.

Restrictions:

3SAT: If x_i is assigned true, then \bar{x}_i must be false and vice versa.

IS: If u is selected to be in V' and v is a neighbor of u then v cannot be in V' .

We want a function which given any 3-CNF boolean formula F , converts it into a pair (G, k) such that the above elements are translated properly. Our strategy will be to turn each literal into a vertex. The vertices will be in clause clusters of three, one for each clause. Selecting a true literal from some clause will correspond to selecting a vertex to add to V' . We will set k equal to the number of clauses, to force the independent set subroutine to select one true literal from each clause. To keep the IS subroutine from selecting two literals from one clause and none from some other, we will connect all the vertices in each clause cluster with edges. To keep the IS subroutine from selecting a literal and its complement to be true, we will put an edge between each literal and its complement.

A formal description of the reduction is given below. The input is a boolean formula F in 3-CNF, and the output is a graph G and integer k .

3SAT-TO-IS(F)

- 1 $k \leftarrow$ number of clauses in F ✓
- 2 for (each clause C in F) ✓
- 3 do create a clause cluster of ✓
- 4 3 vertices from literals of C ✓
- 5 for (each clause cluster (x_1, x_2, x_3)) ✓
- 6 do create an edge (x_i, x_j) between ✓
- 7 all pairs of vertices in the cluster ✓
- 8 for (each vertex x_i) ✓
- 9 do create an edge between x_i and ✓
- 10 all its complement vertices \bar{x}_i ✓

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11 return (G, k) // output is graph G and integer k ✓

If F has k clauses, then G has exactly 3k vertices. Given any reasonable encoding of F, it is an easy programming exercise to create G (say as an adjacency matrix) in polynomial time. We claim that F is satisfiable if and only if G has an independent set of size k.

Example: Suppose that we are given the 3-CNF formula:

$$(x_1 \vee \bar{x}_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee x_2 \vee x_3) \wedge (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge (x_1 \vee \bar{x}_2 \vee x_3)$$

The following series of figures show the reduction which produces the graph and sets $k=4$. First, each of the four literals is converted into a three-vertices graph. This is shown in Figure 9.11

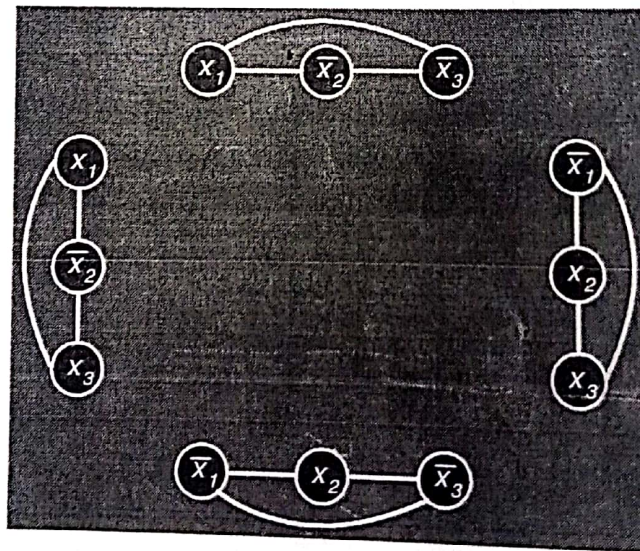


Figure 9.11: Four graphs, one for each of the 3-terms literal

Next, each term is connected to its complement. This is shown in Figure 9.12.

9.9 Coping with NP-Completeness

With NP-completeness we have seen that there are many important optimization problems that are to be quite hard to solve exactly. Since these are important problems, we cannot simply give up at that point, since people do need solutions to these problems. Here are some strategies that are used to cope with NP-completeness:

Use brute-force search: Even on the fastest parallel computers this approach is viable only for the smallest instance of these problems.

Heuristics: A heuristic is a strategy for producing a valid solution but there are no guarantees how close it is to optimal. This is worthwhile if all else fails.

General search methods: Powerful techniques for solving general combinatorial optimization problems. Branch-and-bound, A*-search, simulated annealing, and genetic algorithms.

Approximation algorithm: This is an algorithm that runs in polynomial time (ideally) and produces a solution that is within a guaranteed factor of the optimal solution.

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